


# 量子力学

# Quantum mechanics

School of Physics and Information Technology  
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# Chapter 4

## QUANTUM MECHANICS IN THREE DIMENSIONS

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## 4.3 Angular Momentum

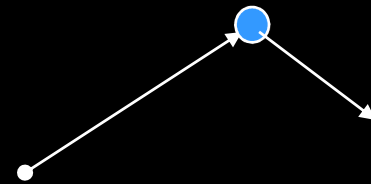
As we have seen, the stationary states of the hydrogen atom are labeled by three quantum numbers:  $n$ ,  $l$  and  $m$ . The  $n$  is the principle quantum number which determines the energy of the state, and,  $l$  and  $m$ , are related to the orbital *angular momentum*.

In the classical theory of central forces, energy and *angular momentum* are the fundamental conserved quantities, and it plays a significant role in the quantum theory. Now we consider the *angular momentum* in quantum theory.

Classically, the angular momentum of a particle (with respect to the origin) is given by the formula

which is to say, in components,

$$L_x = yP_z - zP_y$$



The corresponding quantum operators are obtained by the standard prescription

In the following section we'll obtain the eigenvalues of the angular momentum by a purely *algebraic technique* reminiscent of the one we used in chapter 2 to get the allowed energies of the harmonic oscillator; it is all based on the clever exploitation of commutation relations. After that we will turn to the more difficult problem of determining the eigenfunctions.

### 4.3.1 Eigenvalues

- (1) The momentum operators  $L_x, L_y, L_z$  and their commutation relations:


The operators  $L_x$  and  $L_y$  do not commute; in fact

$$[L_x, L_y] = i\hbar L_z$$

From the canonical commutation relations

and the other position and momentum components commute each other. So

Of course, we can calculate  $[L_y, L_z]$  or  $[L_z, L_x]$  as well, but there is no need to calculate these separately—we can get them immediately by cyclic permutation of the indices ( $x \rightarrow y, y \rightarrow z, z \rightarrow x$ ):



These are the fundamental commutation relations for angular momentum; every-thing else follows from them.

Notice that  $L_x$ ,  $L_y$  and  $L_z$  are incompatible observables. According to the generalized uncertainty principle,

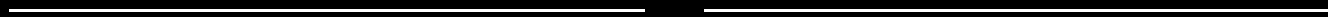


Or

It would therefore be futile to look for states that are simultaneously eigenfunctions of  $L_x$  and  $L_y$ . *So does for other components.*

On the other hand, the square of the total angular momentum,

does commute with the three components of  $L$ , for example,



Similarly, it follows, of course, that

$$[L^2, L_x]$$



So  $L^2$  is compatible with each component of  $L$ , and we can hope to find simultaneous eigenstates of  $L^2$  and (say)  $L_z$ :

(2) Introduce ladder operators  $L_+$ ,  $L_-$  to determine eigenvalues :

We will use a “Ladder operator” technique, very similar to the one we applied to the harmonic oscillator back in Section 2.3.1. Let

The commutator with  $L_z$  is

SO

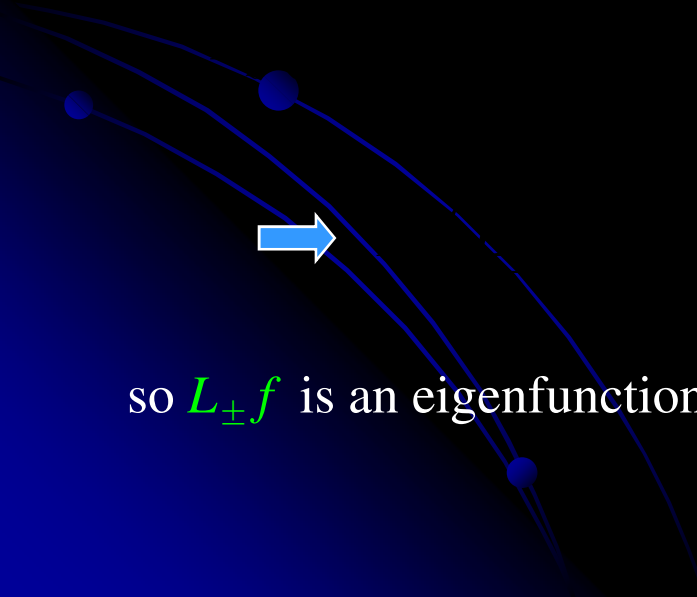
A diagram illustrating the commutator with  $L_z$ . It features two curved lines, one above the other, both curving downwards from left to right. Three blue dots are placed on the upper curve, and one blue dot is on the lower curve. A vertical arrow points downwards from the first dot on the upper curve to the dot on the lower curve, with the label 'SO' positioned to the left of the arrow.



And, of course,

If  $f$  is an eigenfunction of  $L^2$  and  $L_z$ , so

so  $L_{\pm}f$  is an eigenfunction of  $L^2$ , with the same eigenvalue  $\lambda$ , and



The diagram shows two curved lines representing energy levels. The upper line has two blue dots, and the lower line has one blue dot. A blue arrow points from the upper line to the lower line, indicating a transition. The background is a gradient from black at the top to blue at the bottom.

so  $L_{\pm}f$  is an eigenfunction of  $L_z$  with the **new** eigenvalue .

Therefore, as

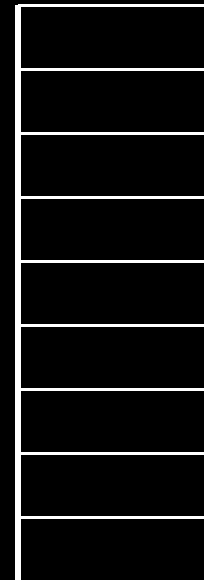
we call  $L_+$  the “raising” operator, because it *increases* the eigenvalue of  $L_z$  by  $\hbar$ , and  $L_-$  the “lowering” operator, because it *lowers* the eigenvalue by  $\hbar$ .

For a given value of  $\lambda$ , then, we obtain a “ladder” of states, with each “rung” separated from its neighbors by one unit of  $\hbar$  in the eigenvalue of  $L_z$  (see Figure). To ascend the ladder we apply the raising operator, and to descend, the lowering operator. But this process cannot go on forever: Eventually we’re going to reach a state for which the z-component exceeds the total, and that cannot be.

There must exist a “top rung”,  $f_t$ , such that

Let  $l\hbar$  be the eigenvalue of  $L_z$  at this top rung (suppose):

$L_z f_t = l\hbar f_t$  and



Now we calculate the following operators as

or, putting it in the other way around,

It follows that

and hence

This tells us the eigenvalue of  $L^2$  in terms of the *maximum* eigenvalue of  $L_z$ .

Meanwhile, there is also a “bottom rung”,  $f_b$ , such that

Let  $\lambda$  be the eigenvalue of  $L_z$  at this bottom rung (suppose):

and

Then we have

and therefore

Comparing

with above equation, we see that



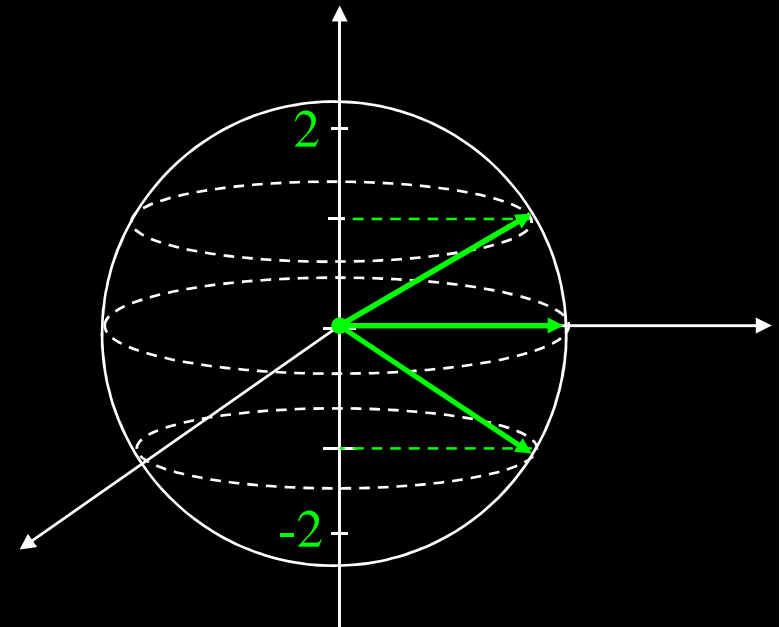
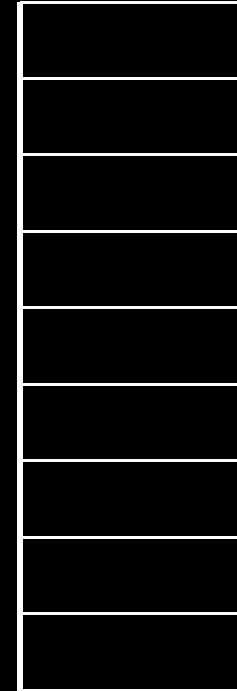
Evidently the eigenvalues of  $L_z$  are  $\mu=m\hbar$ , where  $m$  goes from  $-l$  to  $+l$  in  $N$  integer steps. In particular, it follows that  $l=-l+N$ , and hence  $l=N/2$ , so  $l$  must be an integer or a half-integer.

The eigenfunctions are characterized by the number  $l$  and  $m$ :

where

For a given value of  $l$ , there are  $2l+1$  different values of  $m$ .

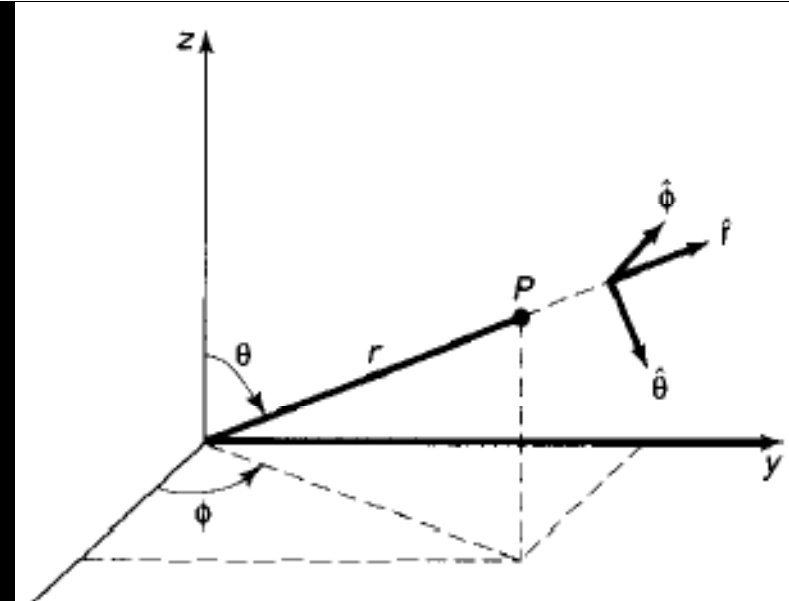
- Some people like to illustrate this result with the diagram in Figure ( $l=2$ ). The arrows are supposed to represent possible angular momenta—in units of  $\hbar$  they all have the same length and their  $z$  components are  $-2,-1,0,1,2$ .



## 4.3.2 Eigenfunctions

Prove:

First of all we need to rewrite  $L_x$ ,  $L_y$  and  $L_z$  in spherical coordinates. Now, as



and the gradient  $\nabla$ , in spherical coordinates, is:

meanwhile,

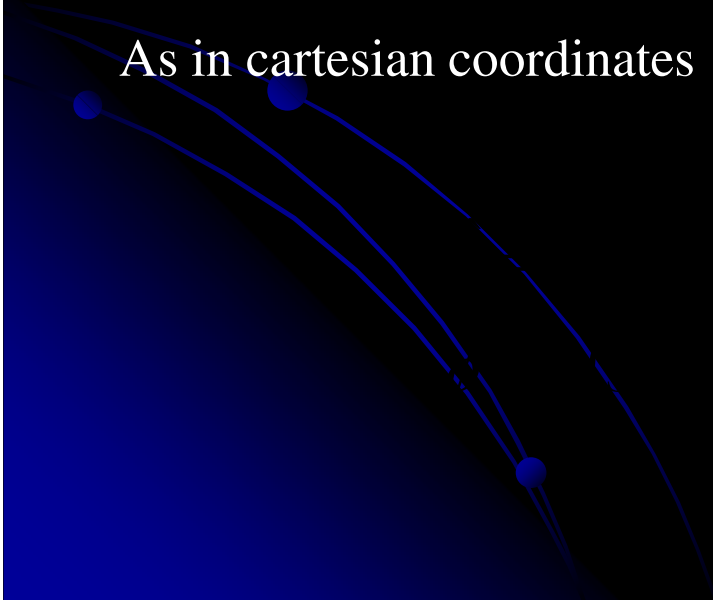
so

But as

and hence

As in cartesian coordinates

we use the relations



Thus

Evidently

and

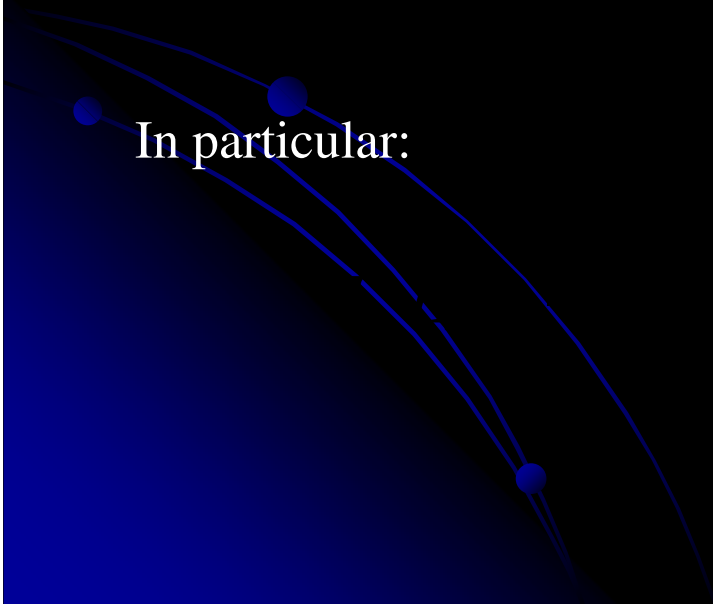
A decorative graphic in the bottom-left corner of the slide. It features three curved lines that sweep upwards and to the right, starting from the bottom-left edge. Three solid blue circular dots are placed along these lines: one on the innermost curve, one on the middle curve, and one on the outermost curve. The lines and dots are rendered in a vibrant blue color.



We can also determine the raising and lowering operators:



In particular:



By using

or

we have

We are now to determine

which is an eigenfunction of ,

But this is precisely the “angular equation”. And it’s also an eigenfunction of  $L_z$ , with the eigenvalue of  $m\hbar$  :

but this is equivalent to the **azimuthal** equation (Eq.4.21).

We have already solved this system of equations: The result is the spherical harmonic,

**Conclusion:** Spherical harmonics are eigenfunctions of  $L^2$  and  $L_z$ .



Recalling what we have done in Section 4.1 that we solved the Schrodinger equation by separation of variables, we have inadvertently constructed simultaneous eigenfunctions of the three commuting operators  $H$ ,  $L^2$  and  $L_z$ :

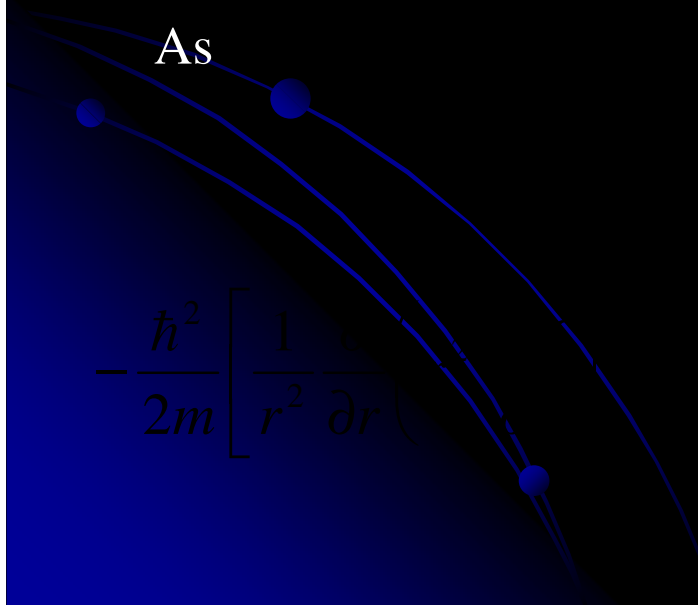
That is



Then at last we have

As

$$-\frac{\hbar^2}{2m} \left[ \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) \right]$$



We can rewrite the Schrodinger equation more compactly:

---

*Question:*

There is only one inconsistency between the functions

By algebraic method the angular momentum permits  $l$  to take on **half-integer** values, whereas separation of variables yielded eigenfunctions only for **integer** values. What is?

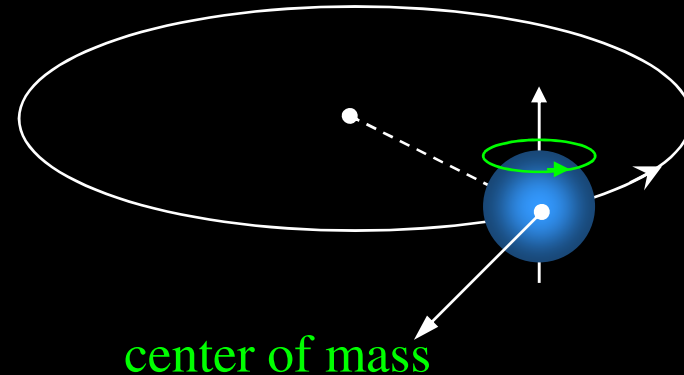
The  $l$  can be **half-integer** that is turned out to be important in the following sections. Spin!

## 4.4 Spin

In classical mechanics, a rigid object admits two kinds of angular momentum:

*orbital* angular momentum

associated with the motion of the center of mass, and *spin*



where  $I$  is the *moment of inertia*, associated with the motion **about** the center of mass.

But, in quantum mechanics, an analogous thing happens, and there is a absolutely fundamental distinction. In addition to orbital angular momentum, associated ( in the case of hydrogen) with motion of the electron around the nucleus (and described by the spherical harmonics), the electron also carries *another* form of angular momentum, which is nothing to do with motion in space (and which is not, therefore, described by any function of the position variables  $r, \theta, \Phi$ ) but which is somewhat analogous to classical spin.

However, the electron (as far as we know) is a structureless point particle, and its spin angular momentum cannot be decomposed into orbital angular momenta of constituent parts. Suffice it to say that elementary particles carry *intrinsic* angular momentum ( $\mathbf{S}$ ) in addition to their “extrinsic” angular momentum ( $\mathbf{L}$ ).

The *algebraic* theory of spin is a carbon copy of the theory of orbital angular momentum, beginning with the fundamental commutation relations:

It follows (as before) that the eigenvectors of  $S^2$  and  $S_z$  satisfy

and for



But this time the eigenvectors are not spherical harmonics ( they are not functions of  $r$ ,  $\theta$ ,  $\Phi$  at all), and there is no a *priori* reason to exclude the half-integer values of  $s$  and  $m$ :

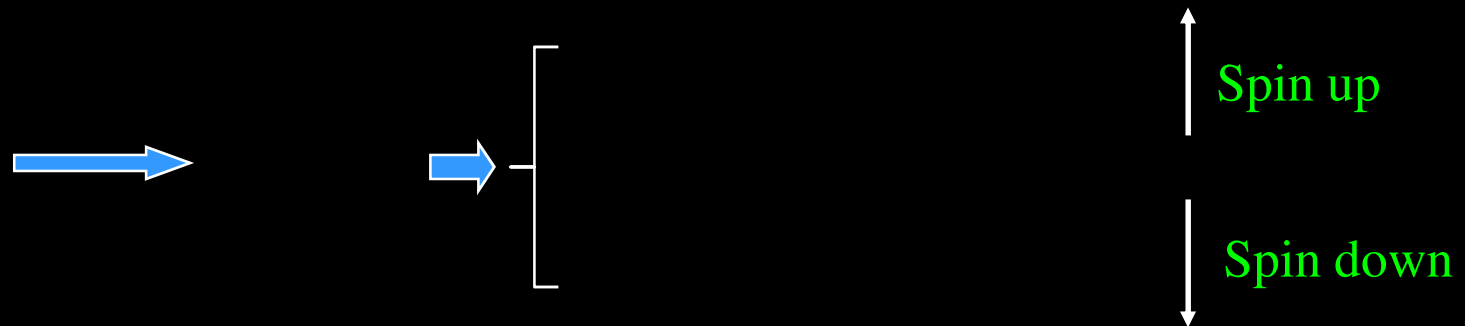
Notes:

1. It so happens, that every elementary particle has a specific and immutable value of  $s$ , which we call the spin of that particular species: pi mesons have spin 0; electrons have spin  $1/2$ ; photons have spin 1; deltas have spin  $3/2$ ; gravitons have spin 2; and so on.
2. By contrast, the orbital angular momentum quantum number  $l$  can take on any integer value you please, and will change from one to another when the system is perturbed. But  $s$  is fixed, for any given particle, and this makes the theory of spin comparatively simple.



## 4.4.1 Spin 1/2

By far the most important case is  $s=1/2$ , for this is the spin of the particles that make up ordinary matter (**protons**, **neutrons**, and **electrons**), as well as all **quarks** and all **leptons**. Moreover, once you understand spin  $1/2$ , it is a simpler matter to work out the formalism for any higher spin. These are just two eigenstates:



Using above two states as a basis vectors, the **general state** of a spin-1/2 particle can be expressed as a two-element column matrix (or spinor):

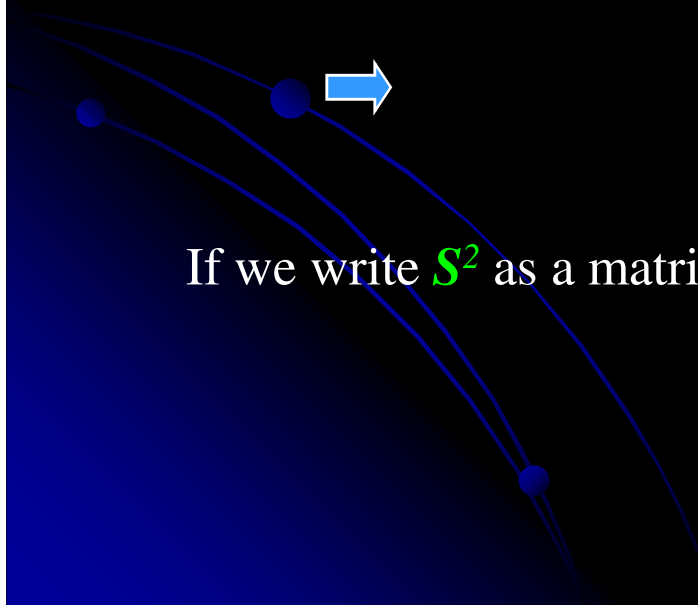
with  $\chi_+$  representing spin up, and  $\chi_-$  for spin down.

Meanwhile, the spin operators become  $2 \times 2$  matrices, which we can work out by noting their effect on  $\chi_+$  and  $\chi_-$ .

By using



If we write  $S^2$  as a matrix with undetermined elements,



Then the first equation says

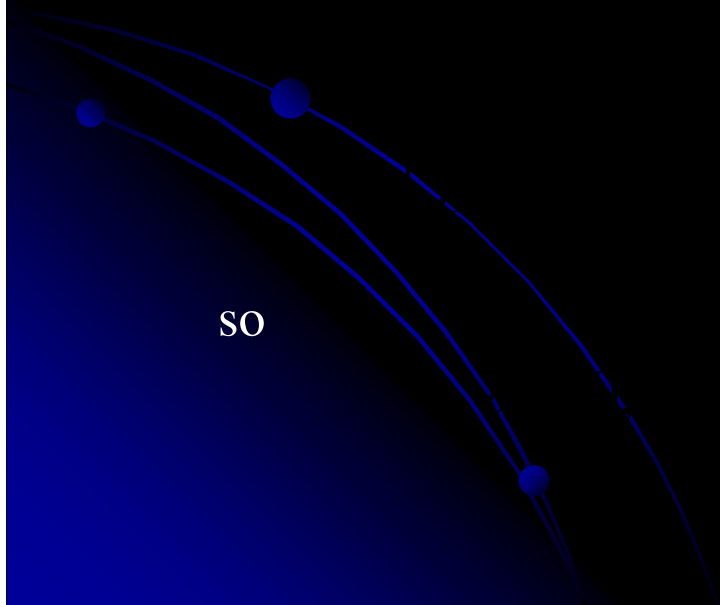


SO

The second equation says



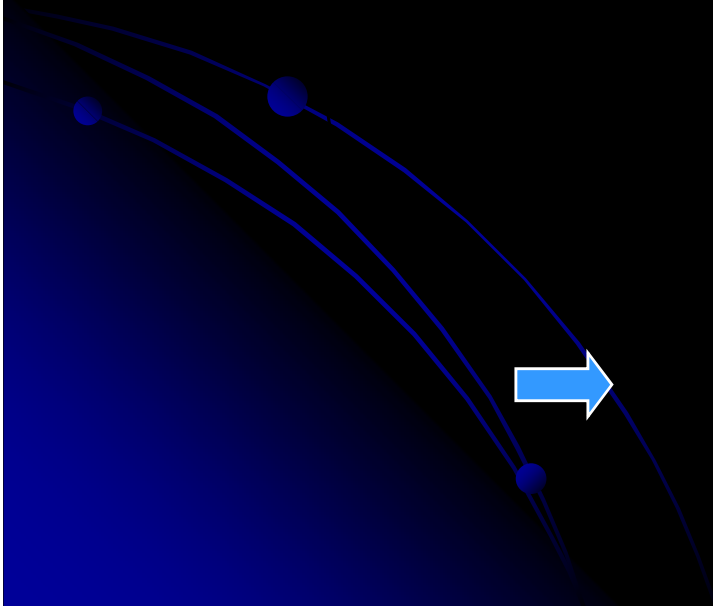
SO



Finally, we have

Similarly, we write  $S_z$  as a matrix with undetermined elements, and use

We have

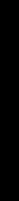


Mean well for “ladder operators”

SO

Now

, SO



Since  $S_x$ ,  $S_y$  and  $S_z$  all carry a factor of  $\hbar/2$ , it is tidier to define

where

These are the famous **Pauli spin matrices**. Notice that  $S_x$ ,  $S_y$ ,  $S_z$ , and  $S^2$  are all *hermitian* (as they should be, since they represent observables). On the other hand,  $S_+$  and  $S_-$  are not hermitian—evidently they are not observable.

The eigenspinors of  $S_z$  are (of course)

$\chi_+$

(eigenvalue  $\hbar/2$ );

(eigenvalue  $-\hbar/2$ ).



[Go back](#)

If you measure  $S_z$  on a particle in the general state

you could get spin up with probability , or spin down with probability .

Since these are the only possibilities, that is (i.e. the spinor must be normalized)

But what if, instead, you chose to measure  $S_x$ ? What are the possible results, and what are their respective probabilities? According to the generalized statistical interpretation, we need to know the eigenvalues and eigenspinors of  $S_x$ . The characteristic equation of  $S_x$  is

$S_x = \left( \frac{\hbar}{2} \right)$        $\rightarrow$        $\rightarrow$



Not surprisingly, the possible values for  $S_x$  are the same as those for  $S_z$ . The eigenspinors are obtained in the usual way:



Evidently the *normalized* eigenspinors of  $S_x$  are

(eigenvalue  $+\hbar/2$ );

(eigenvalue  $-\hbar/2$ ).

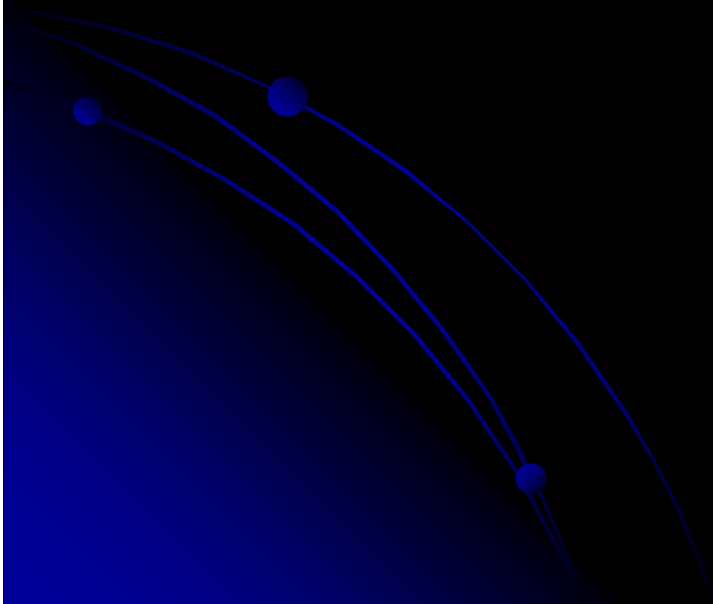
As the eigenvectors of a hermitian matrix, they span the space; the generic spinor can be expressed as a linear combination of them:

Now, if you measure  $S_x$ , the probability of getting  $+\hbar/2$  is  $1/2|a+b|^2$ , and the  $-\hbar/2$  probability of getting is  $1/2|a-b|^2$ .

Discussion: See book on page 176.

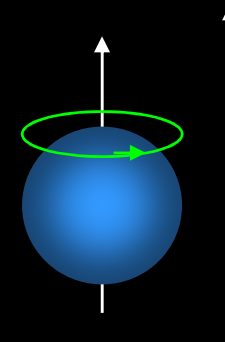
$z$ -component  $S_z$  ?

$x$ -component  $S_x$  ?



## 4.4.2 Electron in Magnetic Field

A spinning charged particle constitutes a magnetic *dipole*. Its *magnetic dipole moment*,  $\mu$ , is proportional to its spin angular momentum,  $S$ :



The proportional constant,  $\gamma$ , is called the *gyromagnetic ratio* and

When a magnetic dipole is placed in a magnetic field  $B$ , it experiences a *torque*, which is to line it up parallel to the field (just like a compass needle). The energy associated with this torque is

so the Hamiltonian of a spinning charged particle, at rest in a magnetic field  $B$ , is

## 1. Larmor precession:

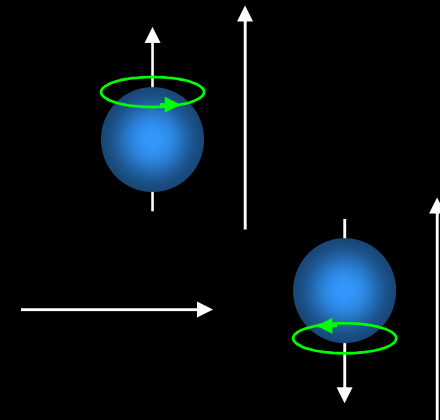
Imagine a particle of spin  $1/2$  at rest in a uniform magnetic field, which points in the  $z$ -direction:

The Hamiltonian, in matrix form, is

The eigenstates of  $H$  are the same as those of  $S_z$

with the energy

with the energy



Evidently the energy is lowest when the dipole moment is parallel to the field——just as it would be classically.

Since the Hamiltonian is time-dependent, the general solution to the time-dependent Schrodinger equation,

can be expressed in terms of the stationary states:

General initial state  $t=0$ :



Clearly, the constant  $a$  and  $b$  are determined by the initial conditions:

with  $|a|^2 + |b|^2 = 1$ .

As  $|a|^2 + |b|^2 = 1$ , without essential loss of generality, we will write

where  $\alpha$  is a fixed angle whose physical significance will appear in a moment.

Thus

To get a feel for what is happening here, let's calculate the expectation value of  $S$ , as a function of time:

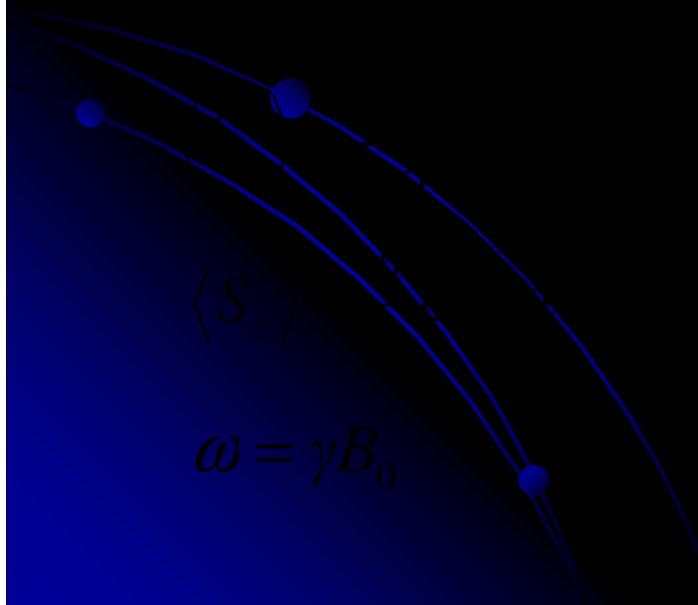
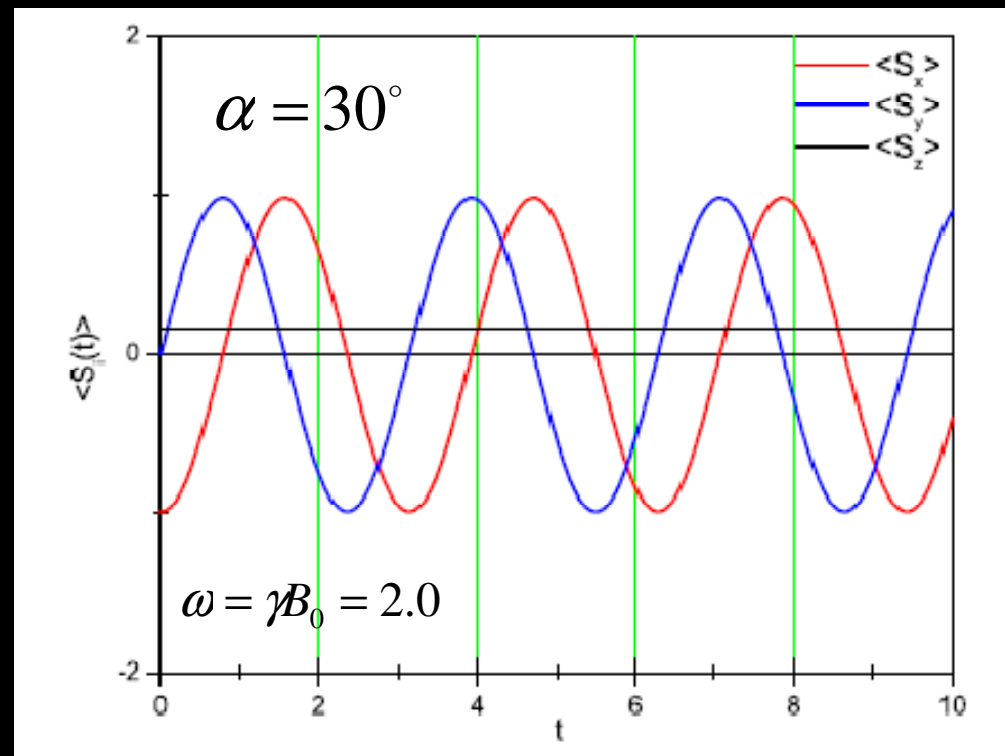

$$\langle \cos(\alpha) \rangle$$

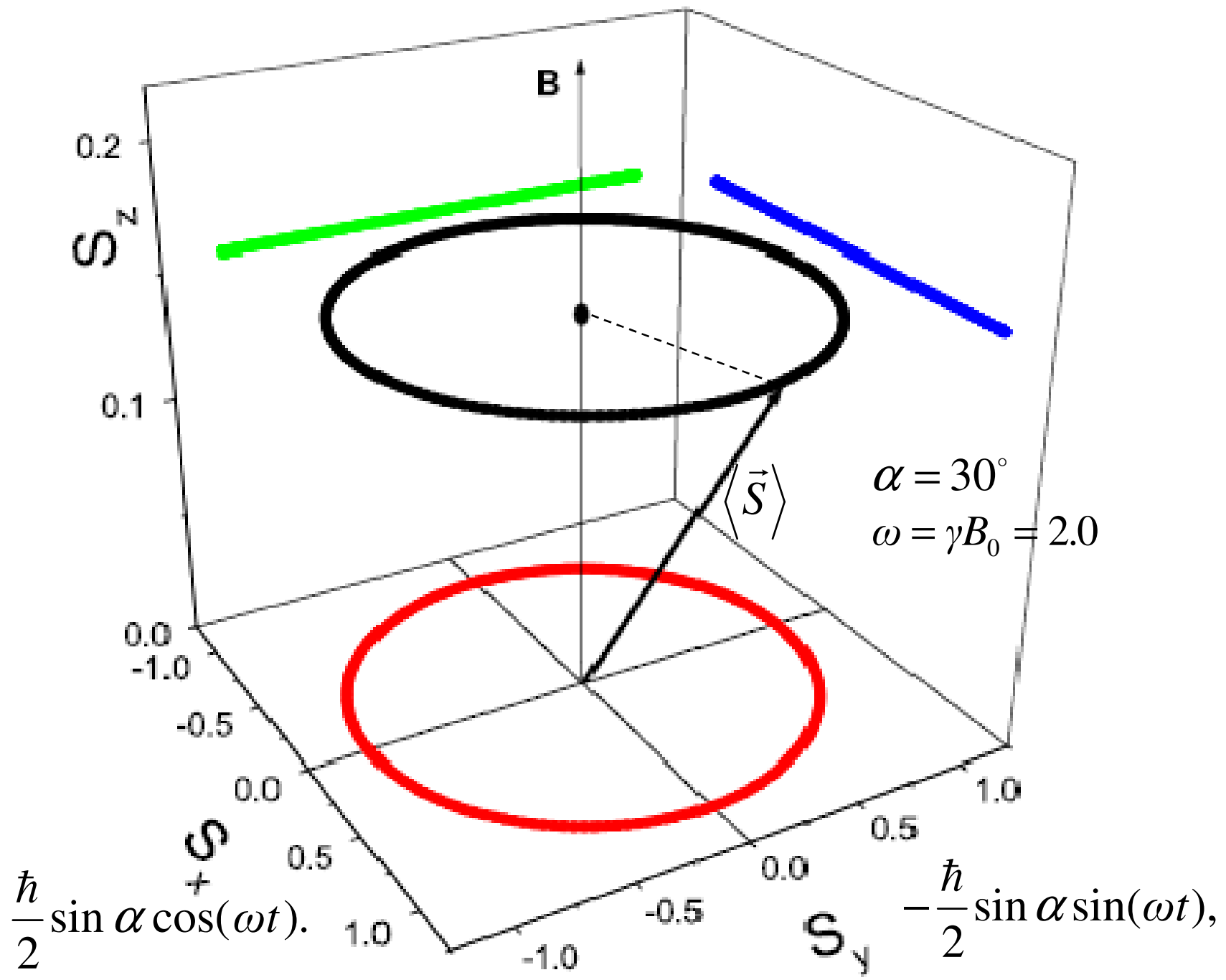
$$= \frac{\hbar}{2} \sin(\alpha)$$

Similarly,

Finally, we have

$\alpha$  determine the initial state.



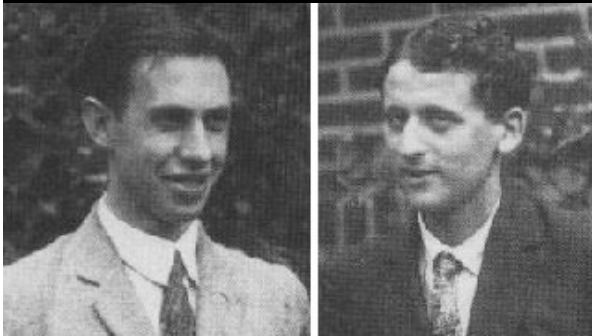




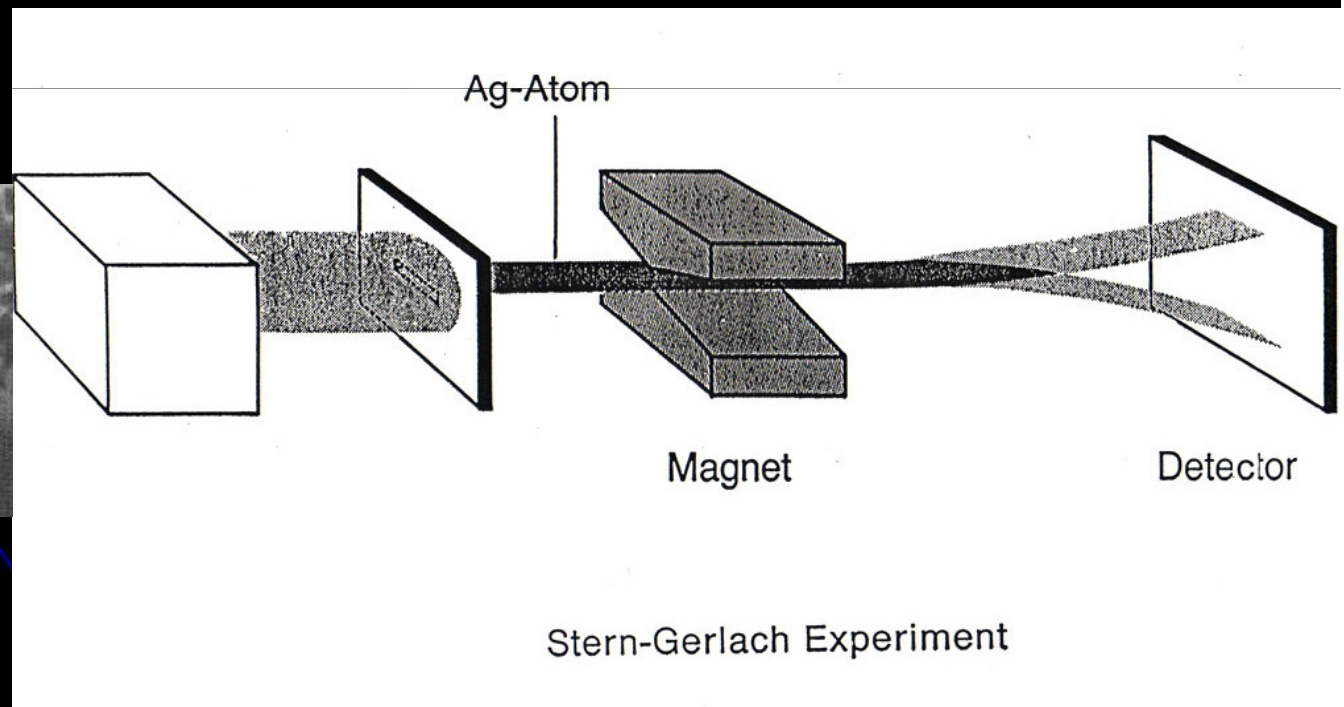
Evidently  $\langle S \rangle$  is tilted at a constant angle to the z-axis, and precesses about the field at the *Larmor frequency*

just as it would classically.

## 2. The Stern-Gerlach experiment:



**Stern-Gerlach**

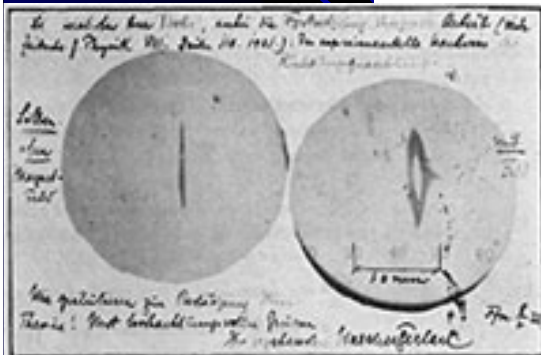
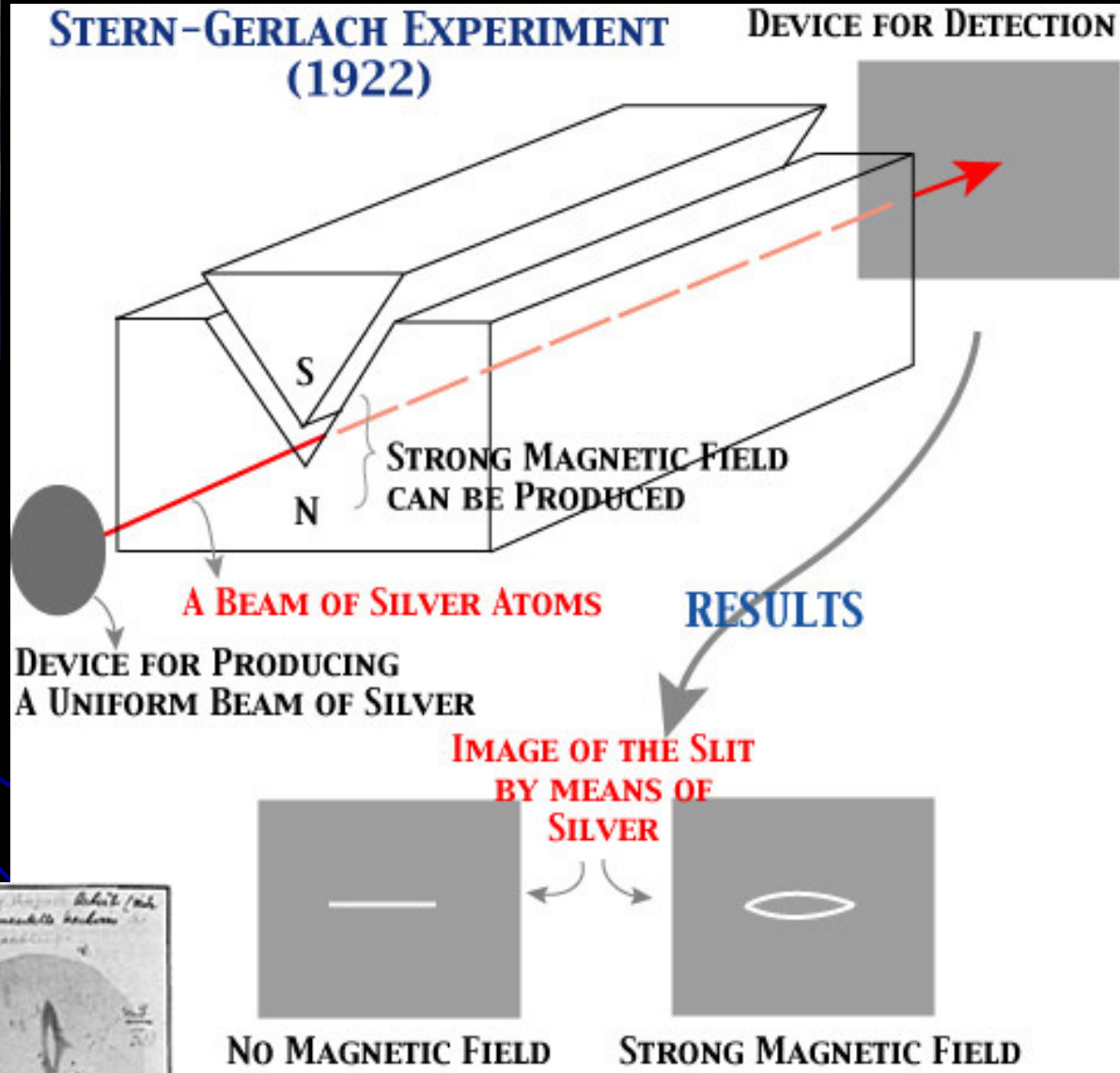




Stern



Gerlach



## Experimental conditions:

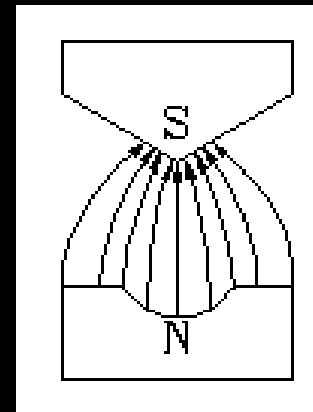
(1) A heavy neutral atomic beam — Ag atom, for example.

Using neutral atom is to avoid the large-scale deflection that would otherwise result from the **Lorentz force**, and heavy so we can construct localized wave packets and treat the motion in terms of **classical particle trajectories**.

**How to determine the atomic spin:** all the inner electrons of the atom are paired, in such a way that their spin and orbital momenta cancel and the net spin is simply that of the outermost—**unpaired**—electron. if we use silver atoms, for example, there is only one outmost unpaired electron there, so in this case  $s=1/2$ , and hence the beam splits in two ( $2s+1=2$ ).

(2) Inhomogeneous magnetic field

In a inhomogeneous magnetic field, there is not only a **torque** which reduces the precession of the spin, but also a net force which can reduce the separation of the atoms, operating on a magnetic dipole.



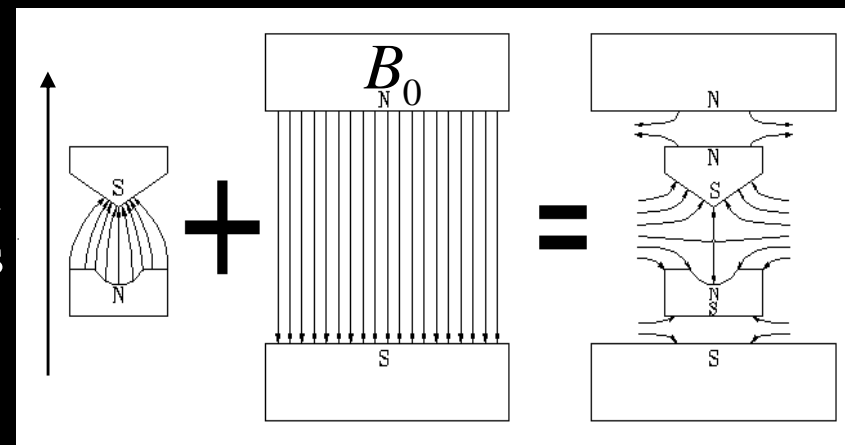
## 1). Classical picture theory:

In an inhomogeneous magnetic field, there is not only a torque, but also a force, on a magnetic dipole:

This force can be used to separate out particles with a particular spin orientation, as follows.

Imagine a beam of relatively heavy neutral atoms, traveling in the  $y$  direction, which passes through a region of inhomogeneous magnetic field—say,

where  $B_0$  is a strong uniform field and the constant  $\alpha$  describes a small deviation from homogeneity. Only the  $z$ -component of  $B$  is important, while  $x$ -component of  $B$  here is for

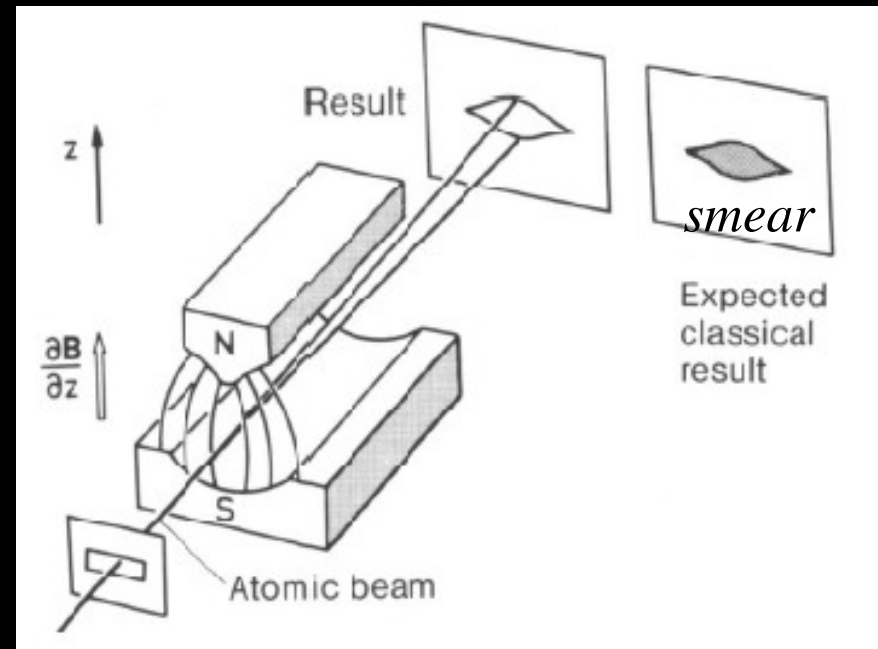


Then the force on these atoms is

But because of the Larmor precession about  $B_0$ ,  $S_x$  oscillates rapidly, and *averages* to zero; the net force is in the  $z$  direction:

[4.170]

and the beam is deflected up and down, in proportion to the  $z$  component of the spin angular momentum. *Classically*, we'd expect a *smear*, but in fact the beam splits into  $2s+1$  separate streams, beautifully demonstrating the quantization of angular momentum.



## 2). Quantum picture theory:

We examine the process from the perspective of a reference frame that moves along with the beam. In this frame the Hamiltonian starts out zero, turns on for a time  $T$ , and then turns off again:

Suppose the atom has spin  $1/2$ , and starts out in the state

for

While the Hamiltonian acts, evolves in the usual way:

for

where we know



and hence it emerges in the sense

for

As the eigenfunction of momentum

corresponds to a momentum in  $z$ -direction of  $p$ , the two terms above carry momentum in the  $z$  direction; the spin up component has momentum

and it moves in the plus- $z$  direction; the spin-down component has the opposite momentum, and it moves in the minus- $z$  direction. Thus the beam splits in two.

**Comparison:** in classical point of view,

$$F_z = \gamma \hbar \omega$$

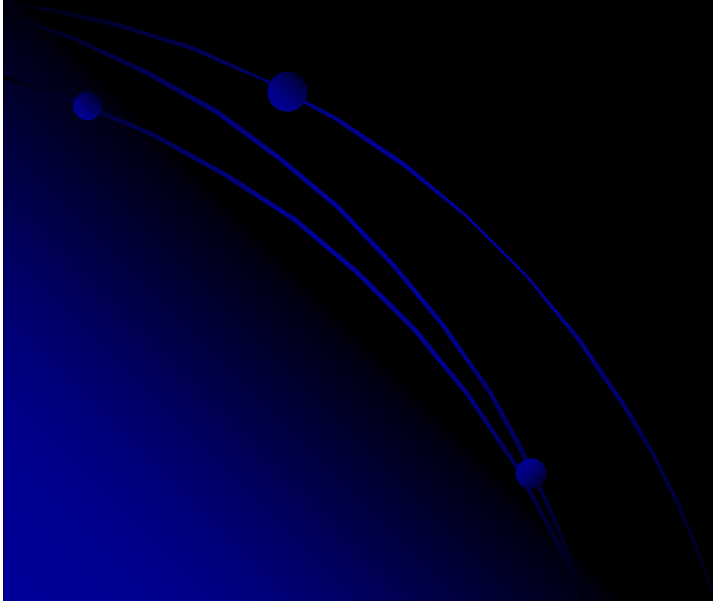


## The significance of Stern-Gerlach experiment:

(1) The experiment demonstrated the spatial quantization of the quantum theory. However, this experiment was performed before the notion of *spin* was proposed; and later it turned out that the two split lines are due to the *spin* of the outermost electron of silver.

(2) Measurement of the state——spin-up state or spin-down state.

(3) Preparation of state——spin-up state or spin-down state.

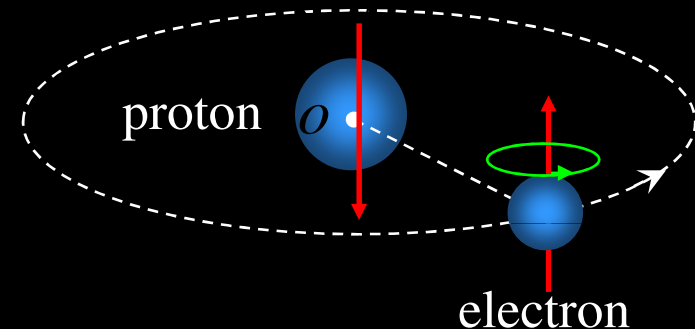




### 4.4.3 Addition of Angular Momenta

#### 1. Simplest example:

Suppose now that we have *two* spin-1/2 particles, the electron and the proton in the ground state of hydrogen. Each can have spin up or spin down, so there are four possibilities in all:



The first arrow refers to the electron and the second to the proton.

**Question:** What is the total angular momentum of the atom?

Let total angular momenta of the system is

Above composite states of the system can be represented by

Applying

$$S_z = S_z^{(1)} + S_z^{(2)} \quad \text{on} \quad \rightarrow$$

Note that  $S^{(1)}$  acts only on  $\chi_1$ , and  $S^{(2)}$  acts only on  $\chi_2$ . That is

So  $m$  (the quantum number for the composite system) is just  $m_1 + m_2$ :



Note that above four states are orthogonal each other (**independent states**).

But we get **two** states with  $m=0$  ? According to general theory of angular momentum, the state can be generated by

So if we apply the lowering operator,  $S_-$  to the state

if we apply the lowering operator,  $S_-$  to the state



A diagram illustrating the lowering operator  $S_-$  in the context of angular momentum. It shows two concentric blue arcs representing energy levels. Three blue dots are placed on the inner arc, and two blue dots are on the outer arc. A dashed line with arrows points from the middle dot on the inner arc to the lower dot on the outer arc, representing the action of the lowering operator  $S_-$ . The label  $S_-$  is written near this arrow.

Evidently the **three** states are in the same **set** with  $s=1$ , which are:

The total  $s=1$  and  $m=-1,0,1$  and there have **three** states as

This is called the **triplet** combination.

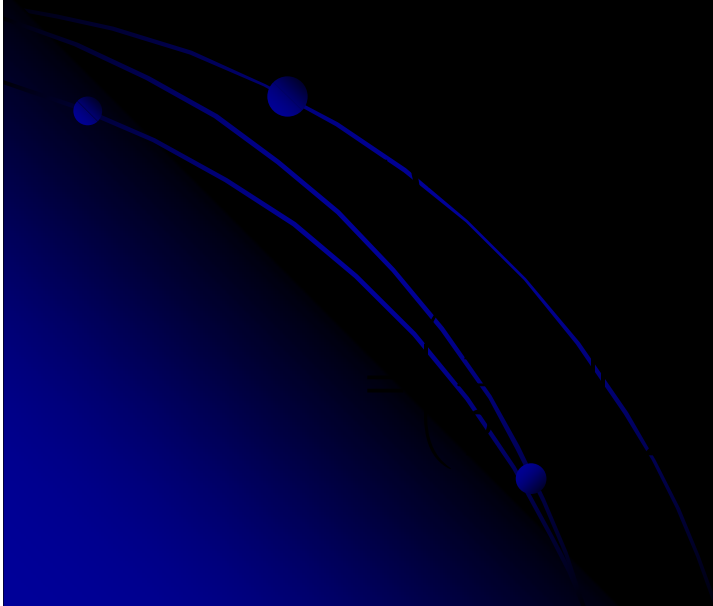
Meanwhile, the **other** orthogonal state with  $m=0$  carries  $s=0$ :

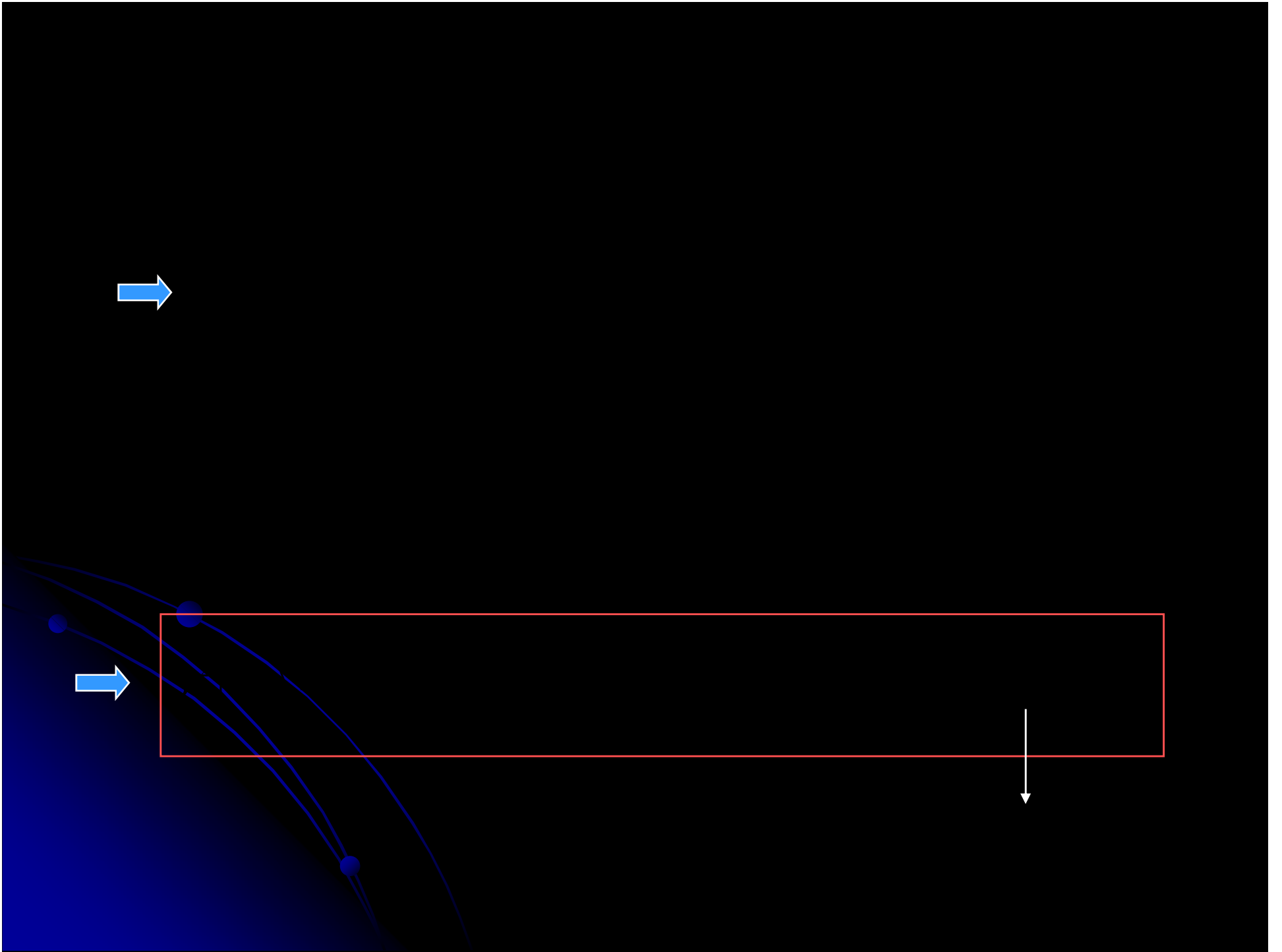
This is called the **singlet** combination.

**Conclusion:** *the combination of two spin-1/2 particles can carry a total spin of 1 or 0, depending on whether they occupy the triplet or the singlet configuration. Now we prove it by getting the eigenvalue of  $S^2$ .*

As

Applying it on the triplet, we have



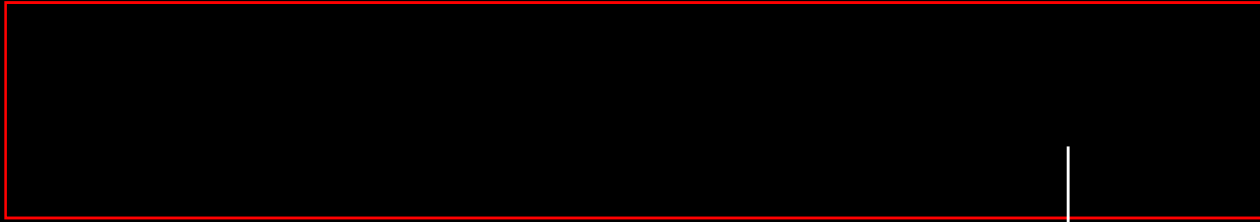




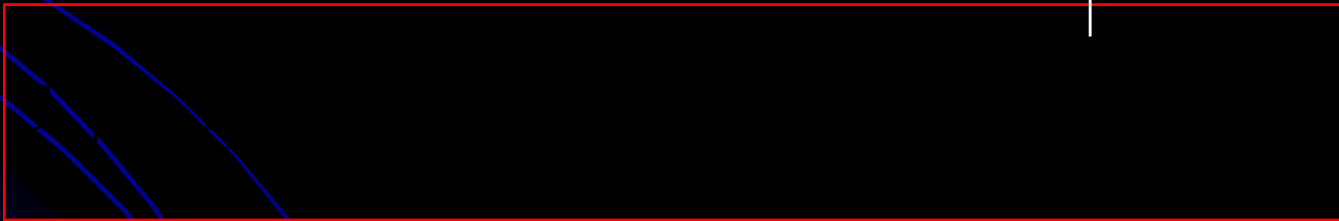
Similarly,

Then

\_\_\_\_\_



Similarly,



The eigenvalue of  $S^2$  on triplet is



## 2. General theory of addition of angular momenta:

If you combine spin  $s_1$  with spin  $s_2$ , what total spins  $s$  can you get? The answer is that you get every spin from  $(s_1 + s_2)$  down to  $(s_1 - s_2)$ —or  $(s_2 - s_1)$ , if  $s_2 > s_1$ —in integer steps:

Some examples: book

The spin states for  $s_1$  is:  $|s_1 m_1\rangle$ ;      The spin states for  $s_2$  is:  $|s_2 m_2\rangle$ .

The **direct product** states for **composite state** is:  $|s_1 m_1\rangle |s_2 m_2\rangle$ .

The **combined state** for the total spin  $s$  of the system is  $|s m\rangle$ .

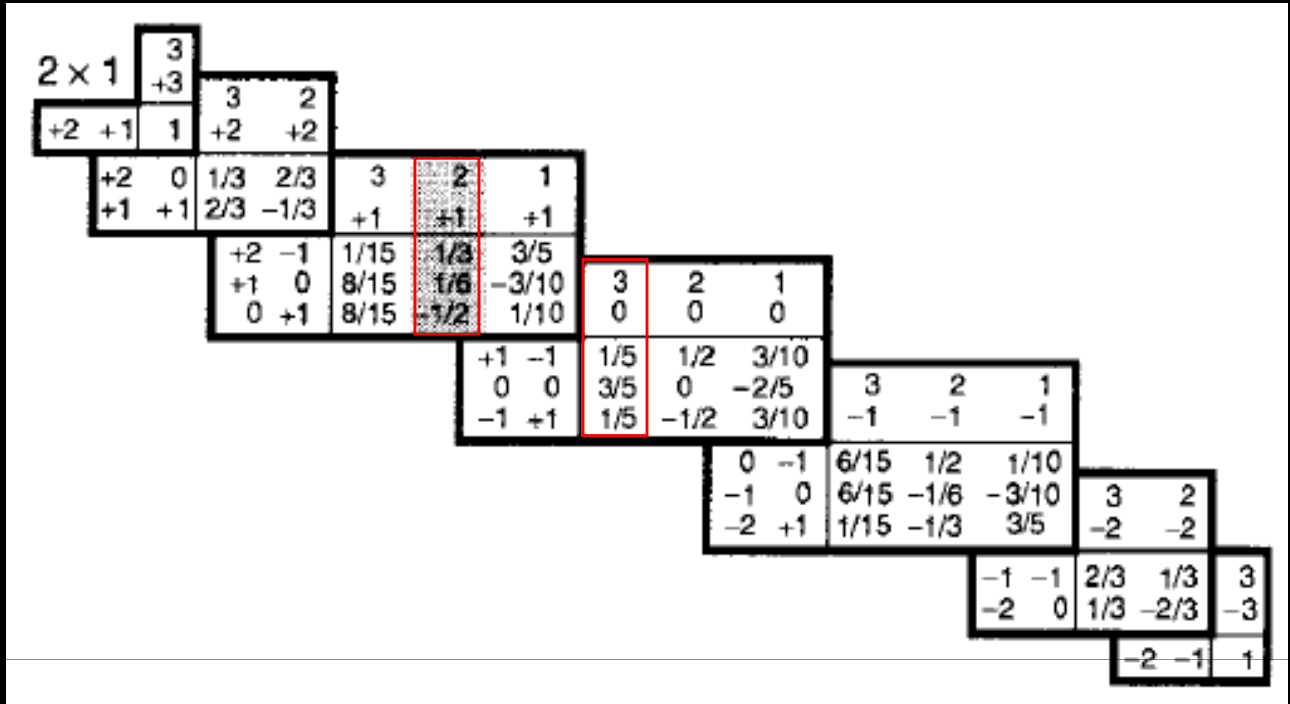
Then the combined state  $|s m\rangle$  with total spin  $s$  and  $z$ -component  $m$  will be some linear combination of composite states  $|s_1 m_1\rangle |s_2 m_2\rangle$  :

The constants are called *Clebsch-Gordan* coefficients.

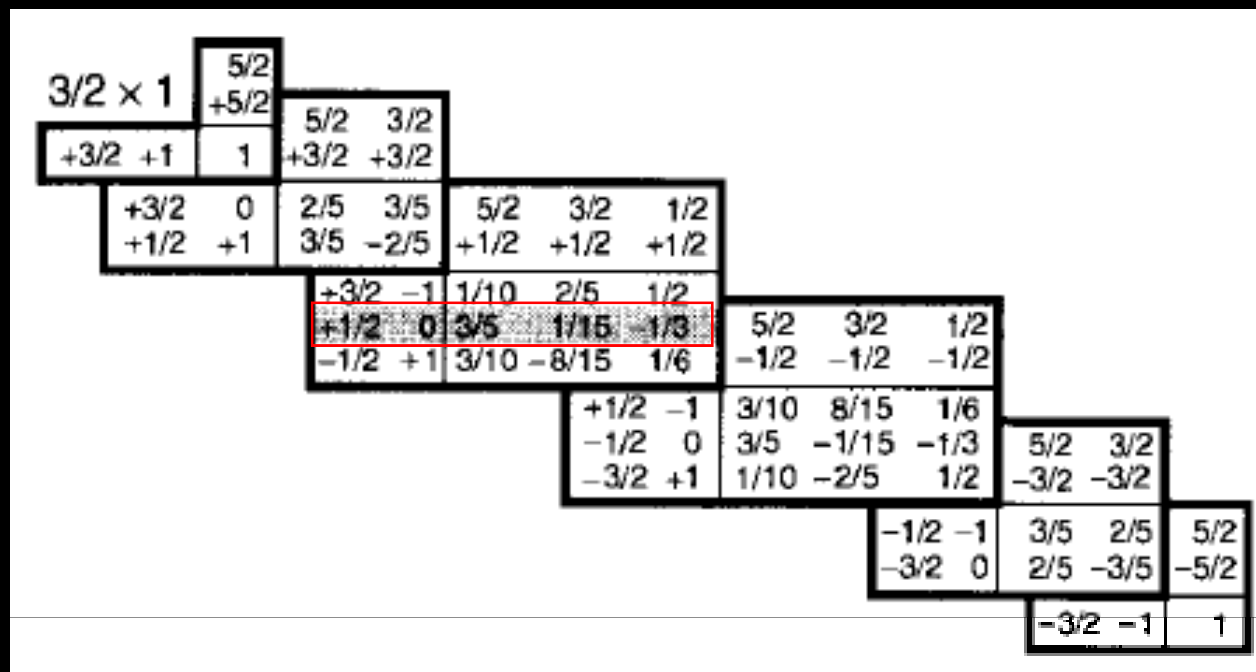
Or reversely

See the table:

$1/2 \times 1/2$		1			
	+1				
		+1	1	0	
+1/2 +1/2		1	0	0	
	+1/2	-1/2	1/2	1/2	1
	-1/2	+1/2	1/2	-1/2	-1
			-1/2	-1/2	1



$|3, 0\rangle$



$$\frac{3}{2} \times 2$$

# Group theory

The composition of the direct product of two irreducible representations of the rotation group into a direct sum of irreducible representation.

