


# 量子力学

# Quantum mechanics

School of Physics and Information Technology  
Shaanxi Normal University



# Chapter 4

## QUANTUM MECHANICS IN THREE DIMENSIONS

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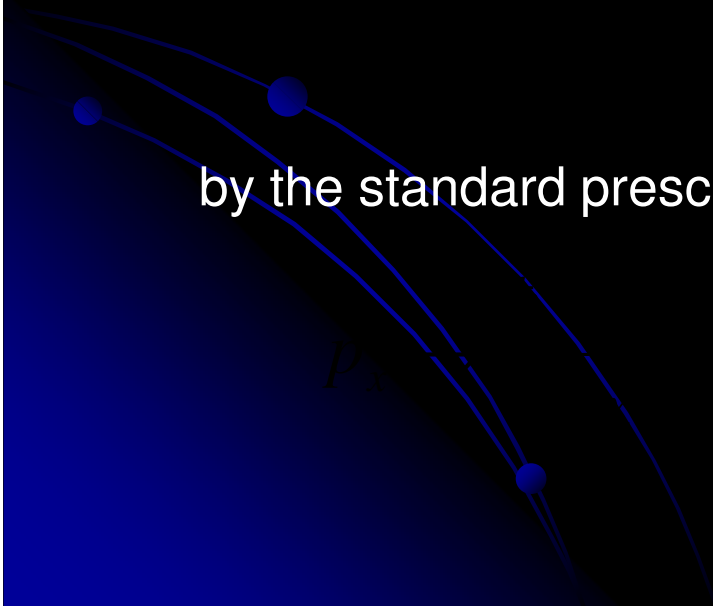
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## 4.1 Schrödinger Equation in Spherical Coordinates

(1) The generalization of the Schrödinger Equation from one-dimensional to three-dimensional is straightward. The SE says

the Hamiltonian operator  $H$  is obtained from the classical energy

by the standard prescription (applied now to  $y, z$  as well as  $x$ )



As

or, for short

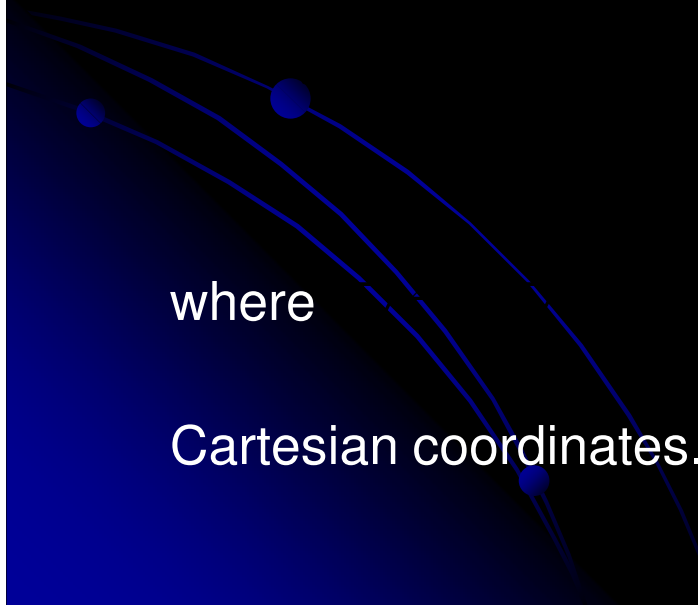
where

Thus

where

Cartesian coordinates.

is the **Laplacian**, in



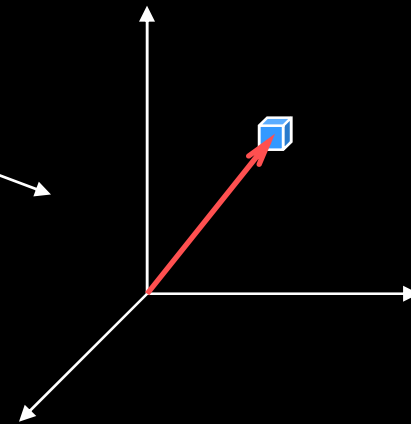
And in 3-dimensional space

as well as

(2) The **probability** of finding the particle in the infinitesimal volume  $d^3r$ ,

is

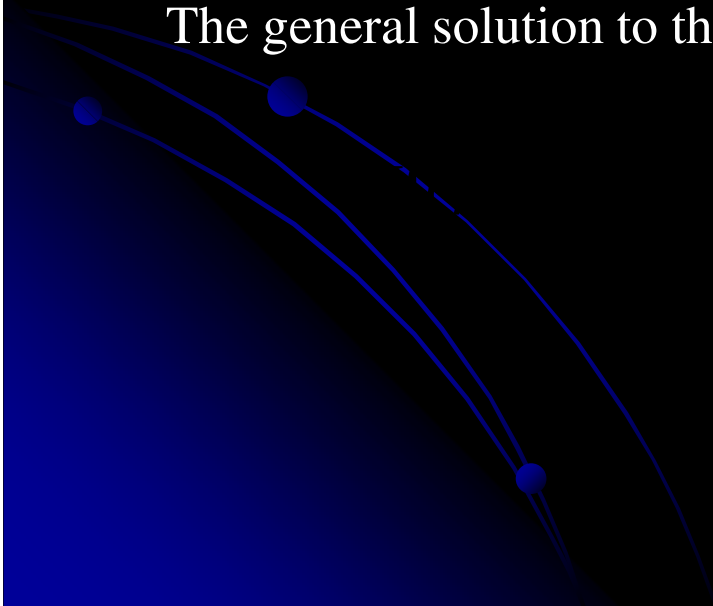
(3) Therefore the normalization condition reads



(4) If the potential is time-independent, the time-independent Schrodinger equation reads

and there will be a complete set of stationary states

The general solution to the (time-dependent) Schrodinger equation is

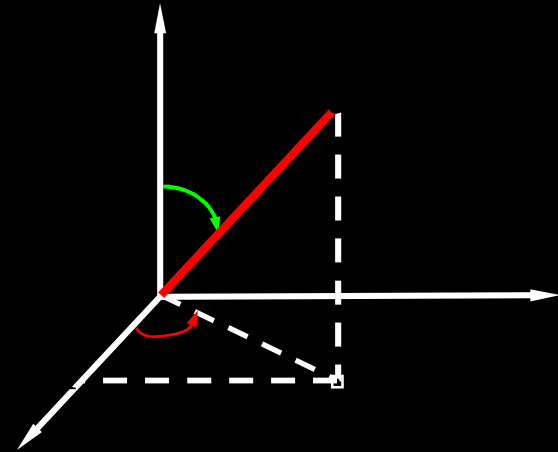


## 4.1.1 Separation of Variables

(1) Spherical coordinates

Cartesian coordinates:

Spherical coordinates:



In spherical coordinates the Laplacian takes the form

$$\nabla^2 = \frac{1}{r^2} \left( \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2} + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin^2 \theta \frac{\partial}{\partial \theta} \right) \right)$$

# The time-independent Schrodinger equation in Cartesian coordinates

In spherical coordinates

We begin by looking for solutions that are separable into products:

Putting this into above equation, we have

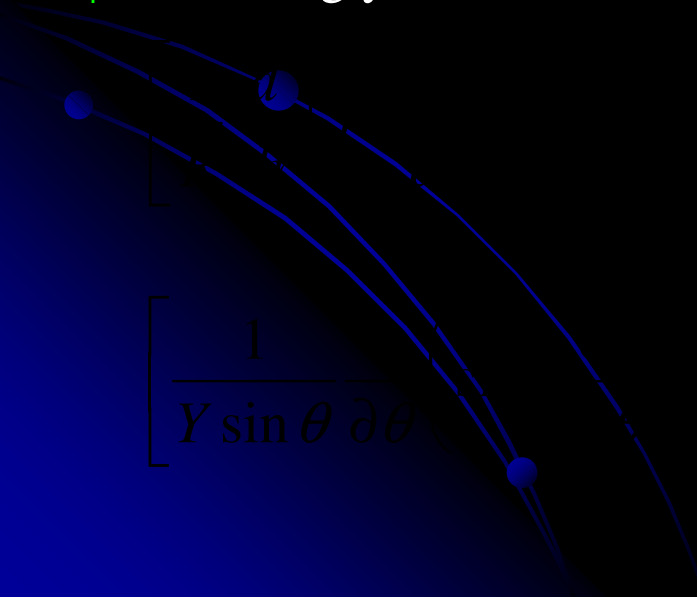
$$-\frac{\hbar^2}{2m} \left[ \frac{Y}{r} \frac{d}{dr} \left( r \frac{d}{dr} \right) \right]$$



Dividing by  $RY$  and multiplying by

---

The term on the left hand depends only on  $r$ , whereas the right depends only on  $\theta$   $\varphi$ ; accordingly, each must be a constant, which is in the form  $l(l+1)$ :



$$\left[ \frac{1}{Y \sin \theta} \frac{\partial}{\partial \theta} \right]$$



**Spherically  
symmetric  
potential**

## 4.1.2 The Angular Equation

*Solution of  $Y$* : Equation 4.17 determines  $Y$  function as

Multiplying above equation by  $Y\sin^2\theta$ , it becomes:

As always, we try separation of variables:

Plugging this in, and dividing by  $Y$ , we find

The first term is a function only of  $\theta$ , and the second is a function only of  $r$ , so each must be a constant. This time I will call the separation constant  $m^2$ :



(1) The  $\theta$  equation is easy to solve:

Now, when  $\theta$  advances by  $2\pi$ , we return to the same point in space, so it is natural to require that

In other words,

From this it follows that  $m$  must be an **integer**:

(2) The equation is not simple.



Turn  $\theta$  into  $x$  by:

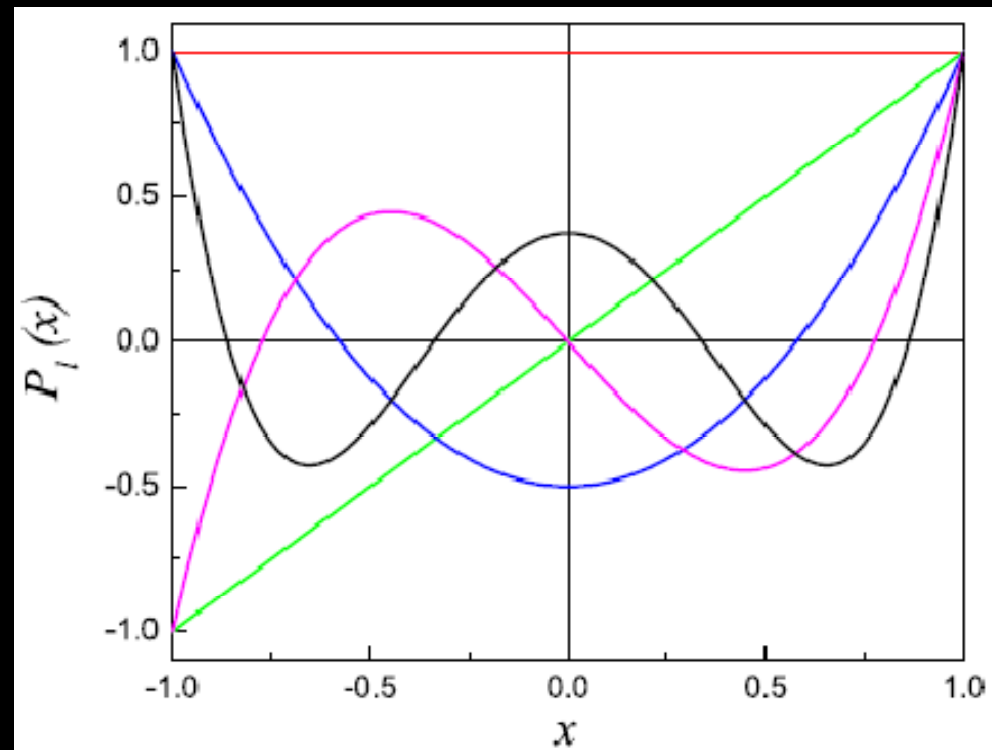
Above equation is  $l$ th-order associated Legendre equation.

Therefore, the solution of  $\Theta$  is

where  $P_l^m$  is the associated Legendre function, defined by



and  $P_l(x)$  is the  $l$ th Legendre polynomial, defined by the Rodrigues formula:



$$P_4(x) = \frac{35}{8}x^4 - \frac{15}{4}x^2 + \frac{3}{8}$$

❖  $P_l(x)$  is a polynomial (of degree  $l$ ) in  $x$ , and is even or odd according to the parity of  $l$ .

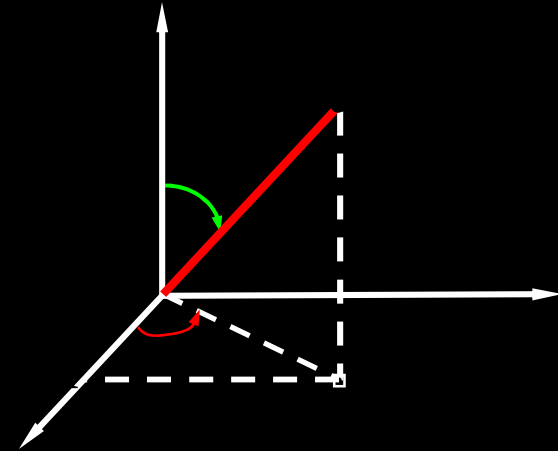
But for associated Legendre function  $P_l^m$ :

is not, in general, a polynomial——if  $m$  is odd it carries a factor of

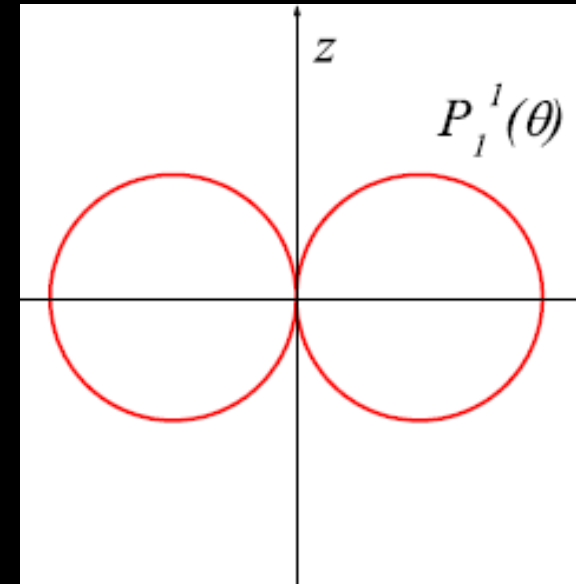
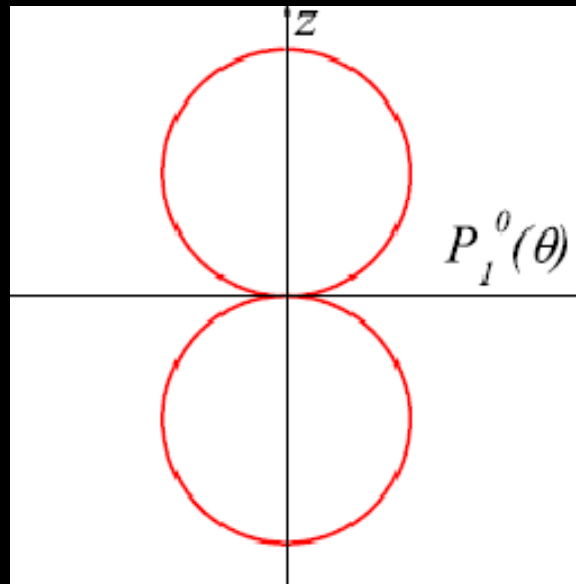
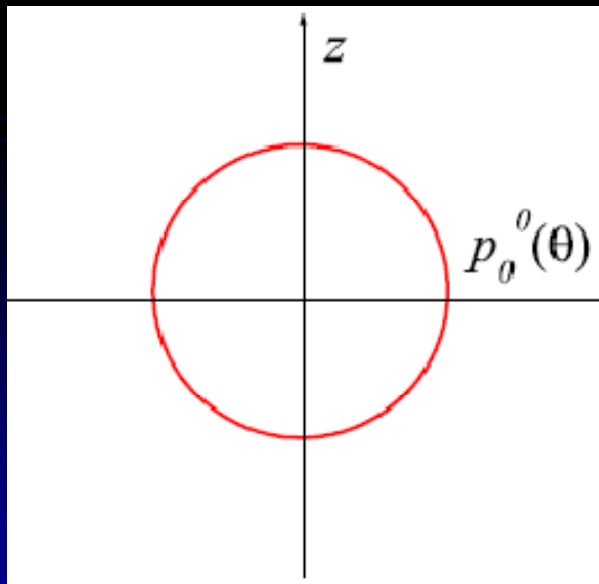


not a polynomial

As

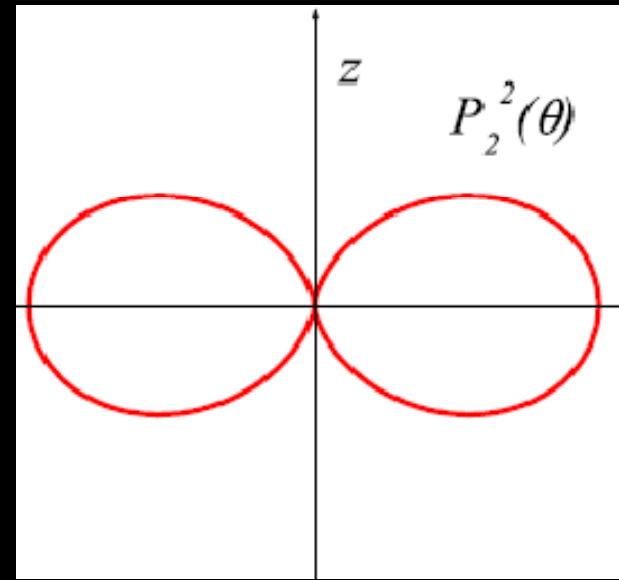
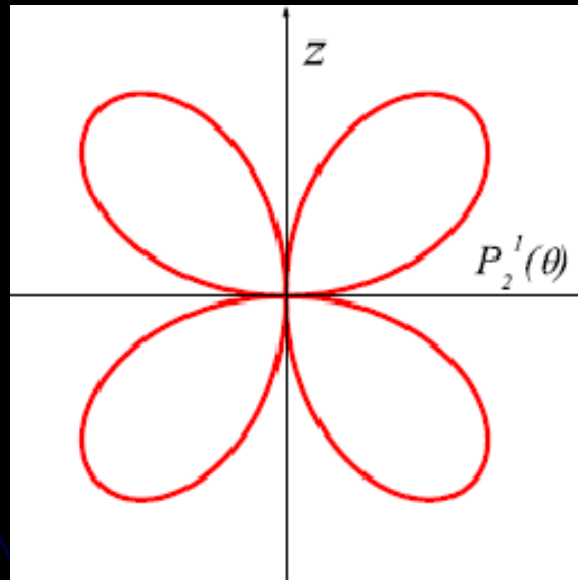
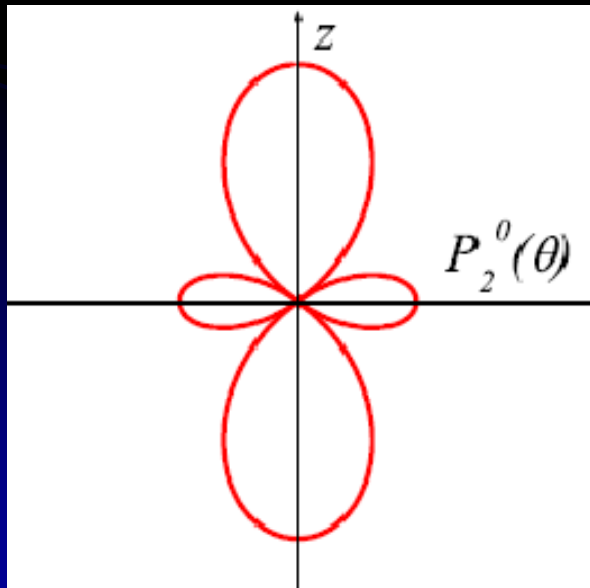
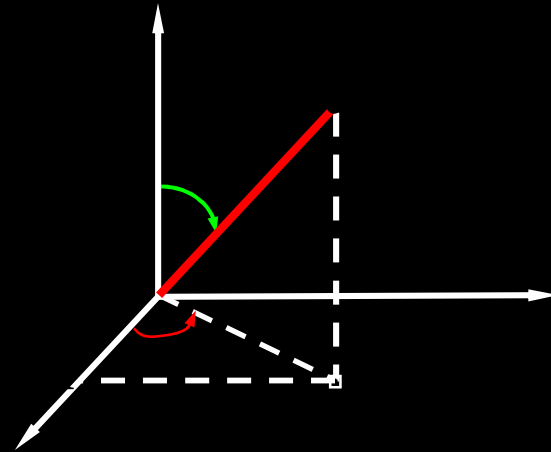


Plot:

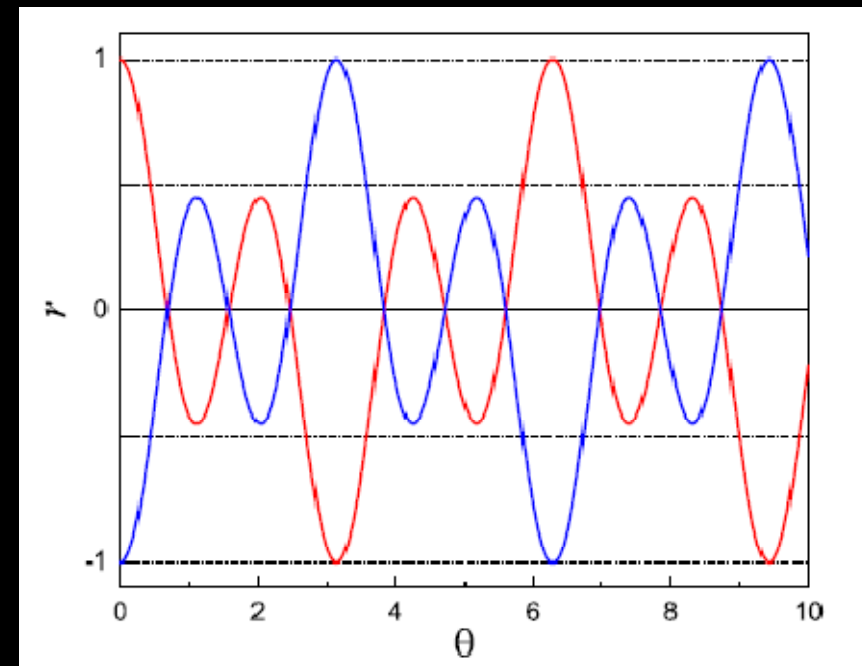
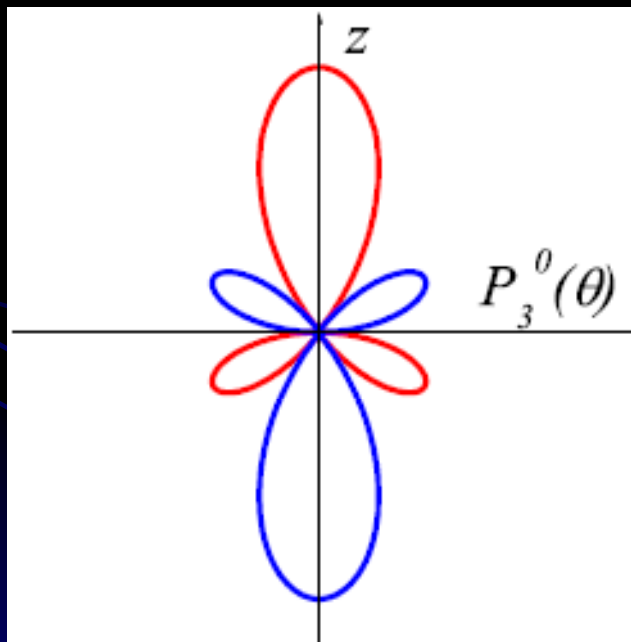




Plot:



Plot:

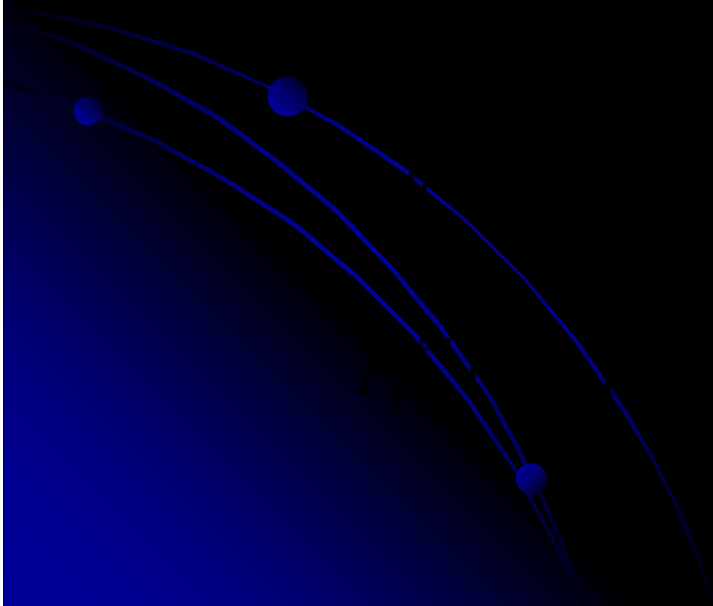


$$r(\theta) = \frac{1}{2}(5 \cos \theta)$$

## Some Notes:

(1) Notice that  $l$  must be a nonnegative *integer*, for the Rodrigues formula to make any sense.

If  $|m| > l$ , then  $P_l^m = 0$ . Therefore, for any given  $l$ , there are  $(2l+1)$  possible values of  $m$ :



(2) Now, the volume element in spherical coordinates is

so the normalization condition becomes

It is convenient to normalize  $R$  and  $Y$  separately:

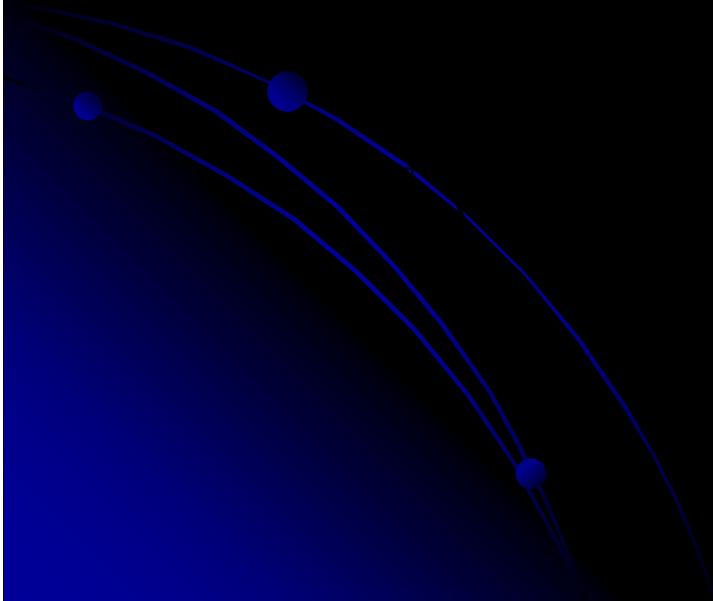
where  $R$  determined by  $V(r)$  and  $Y$  can be obtained.



The **normalized** angular wave functions are called *spherical harmonics*:

where

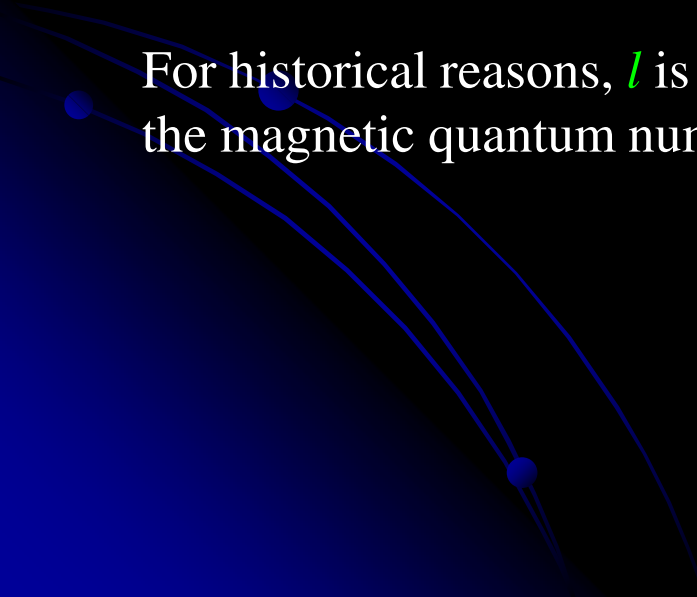
Now we list here some few spherical harmonics: See book for more



Notice that

Actually, the  $Y$ s are automatically orthogonal, so

For historical reasons,  $l$  is called the azimuthal quantum number, and  $m$  the magnetic quantum number.



### 4.1.3 The Radial Equation

Notice that the angular part of the wave function,  $Y(\theta, \Phi)$ , is the same for all spherically symmetric potentials; the actual shape of the potential,  $V(r)$ , affects only the radial part of the wave function,  $R(r)$ , which is determined by Equation 4.16:

This equation can be simplified if we change variables as

so that

$R$

A diagram illustrating a variable change. On the left, a blue shaded region contains a white curve that starts at the top left and curves downwards and to the right. Three blue dots are placed along this curve. A white arrow originates from the curve and points horizontally to the right, indicating a transformation or simplification of the equation.

and hence

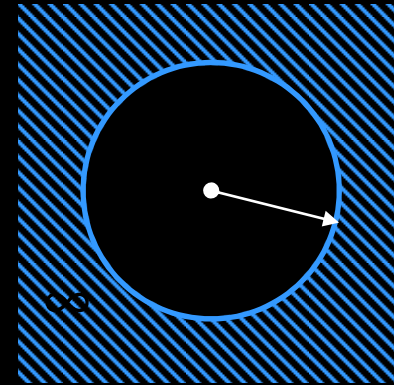
This is called the **radial equation**; it is *identical in form* to the one-dimensional Schrödinger Equation, except that the **effective potential**,

- contains an extra piece, the so-called **centrifugal term**,  $\frac{\hbar^2 l(l+1)}{2mr^2}$ . It tends to throw the particle outward (away from the origin), just like the centrifugal (pseudo-) force in classical mechanics. Meanwhile, the normalization condition becomes





The *infinite spherical well*:



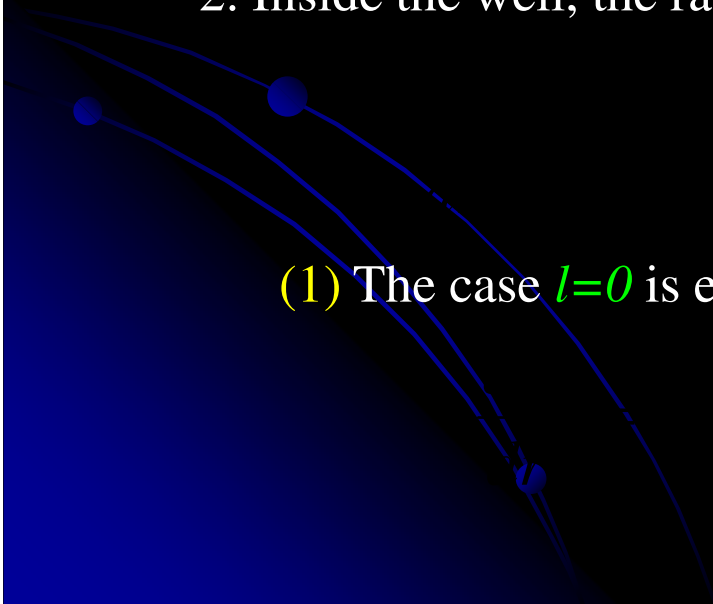
Find the wave function and the allowed energies.

**Solution:**

1. Outside the well, the wave function is **zero**:  $u(r, r=a \text{ or } r>a)=0$ .
2. Inside the well, the radial equation reads

where

(1) The case  $l=0$  is easy:



Then the solution is

As the second term blows up, so we must choose  $B=0$ .



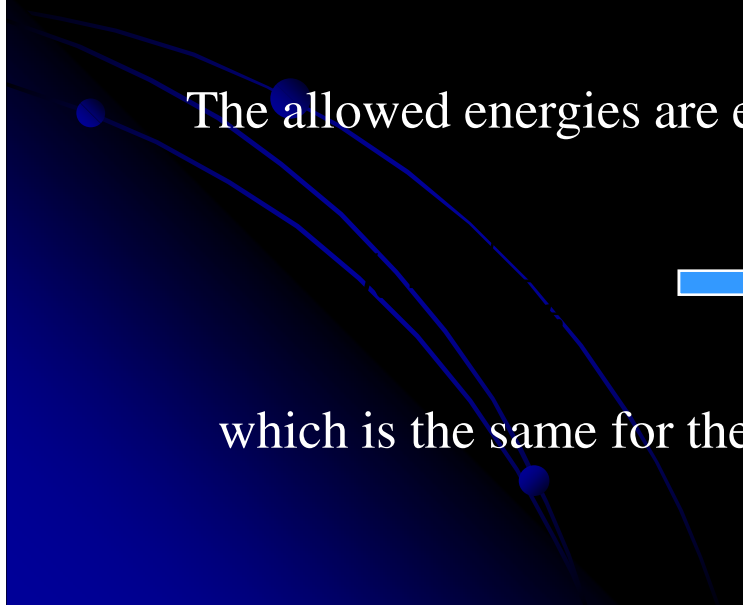
The boundary condition then requires that



The allowed energies are evidently



which is the same for the one-dimensional infinite square well.



The normalization condition:

yields



Tacking on the angular part ( $l=0, m=0$ )

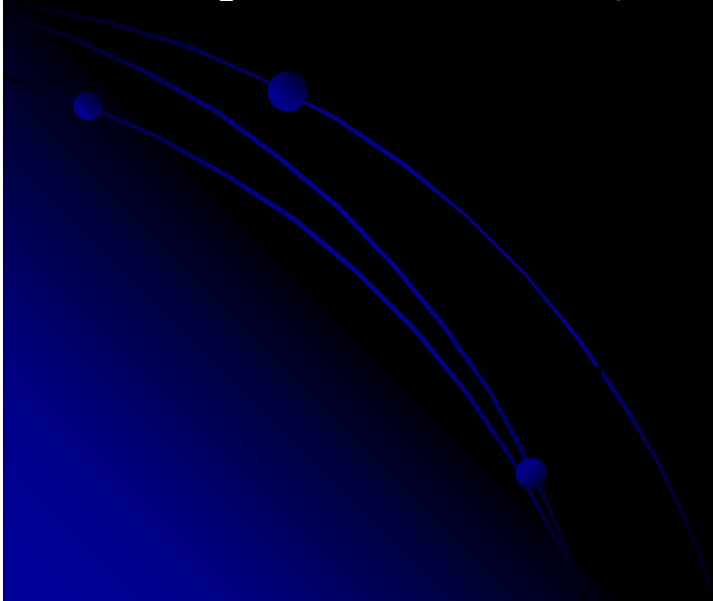
we conclude that

*Notice that the stationary states are labeled by three quantum number,  $n$ ,  $l$  and  $m$ :  $\psi_{nlm}$ . The energy, however, depends only on  $n$  and  $l$ :  $E_{nl}$ .*

(2) The case  $l$  is in any integer:

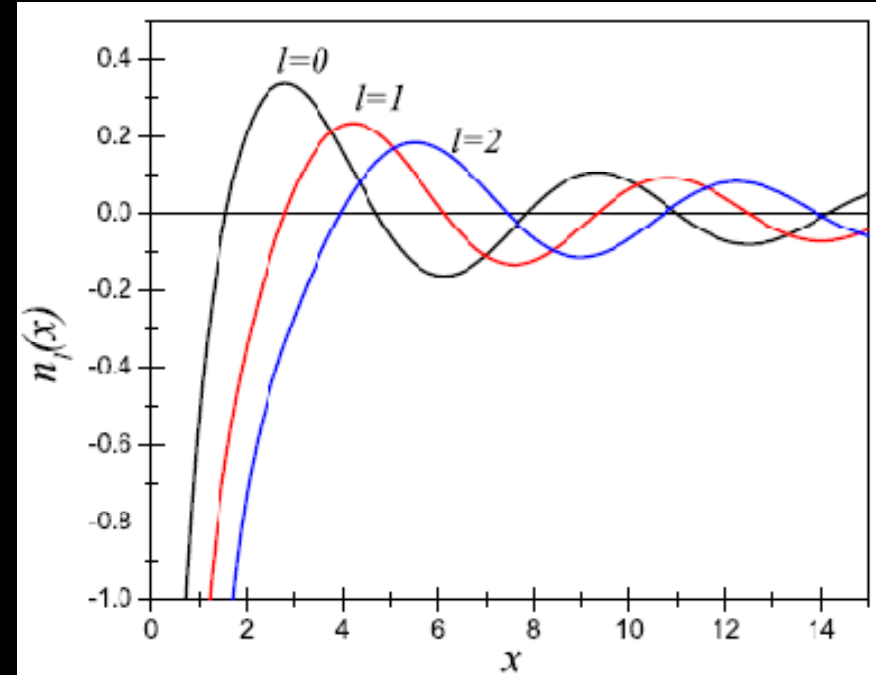
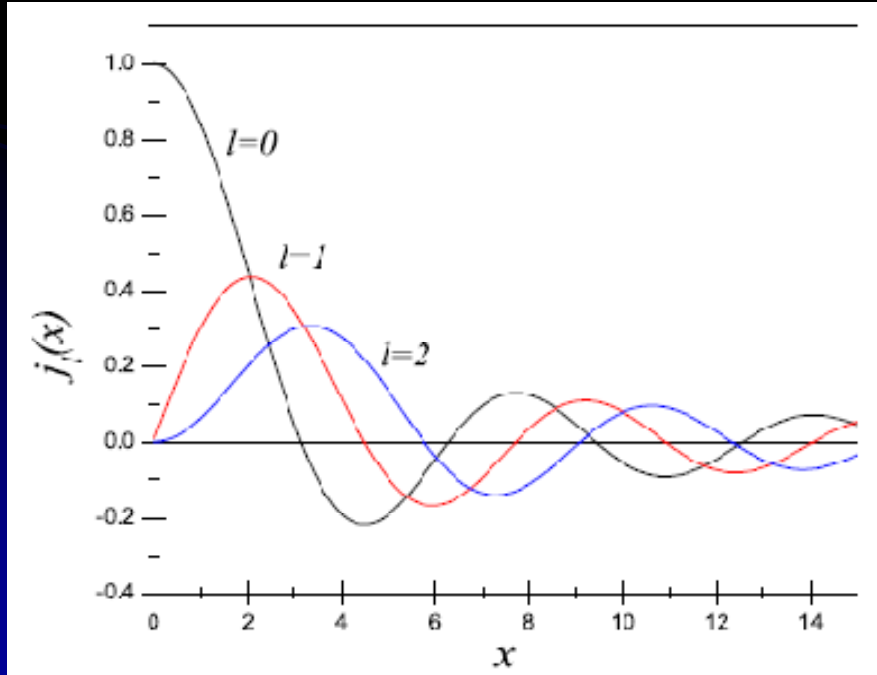
The general solution of above equation is:

where  $j_l(kr)$  is the spherical *Bessel function* of order  $l$ , and  $n_l(kr)$  is the spherical *Neumann function* of order  $l$ . They are defined as follows:



Spherical *Bessel function*:

Spherical *Neumann function*



The asymptotic properties of two functions :

Generally, for small  $x$ , we have

*Proof:* For small  $x$ ,



As when  $x \rightarrow 0$ , *Neumann functions* blow up, that is

in the general solution,  
and hence

we must set  $B=0$ ,

The boundary condition then requires that  $R(a)=0$ . Evidently  $k$  must be chosen such that

that is,  $(ka)$  is a zero of the  $l$ th-order spherical Bessel function. Now, the Bessel functions are oscillatory; each one has an infinite number of zeros. However, unfortunately for us, they are not regularly located and must be computed numerically. At any rate, if we suppose that

the boundary condition requires that

where  $\beta_{nl}$  is the  $n$ th zero of the  $l$ th spherical Bessel function. The allowed energies, then, are given by

and the wave functions are

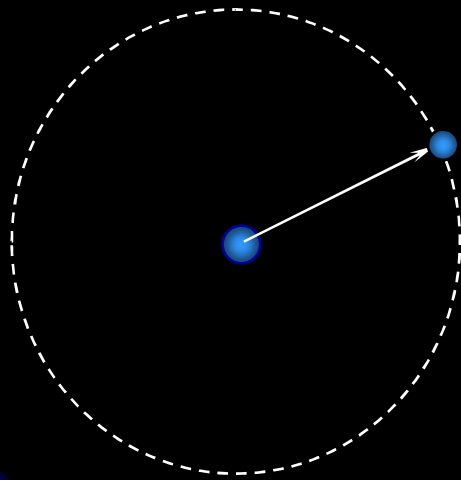
with the constant  $A_{nl}$  to be determined by normalization. Each energy level is  $(2l+1)$ -fold degenerate, since there are different values of  $m$  for each value of  $l$ .





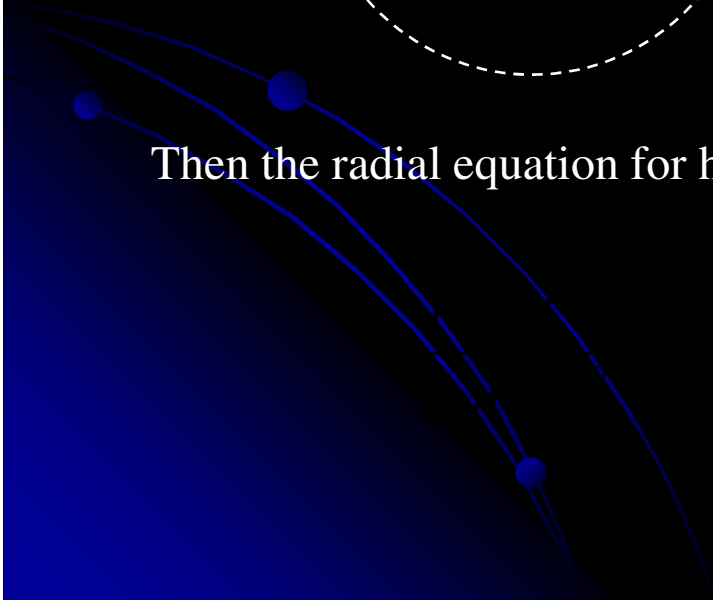
## 4.2 The Hydrogen Atom

The hydrogen atom consists of a heavy, essentially motionless proton, of charge  $e$ , together with a much lighter electron (charge  $-e$ ) that orbits around it, bound by the mutual attraction of opposite charges.



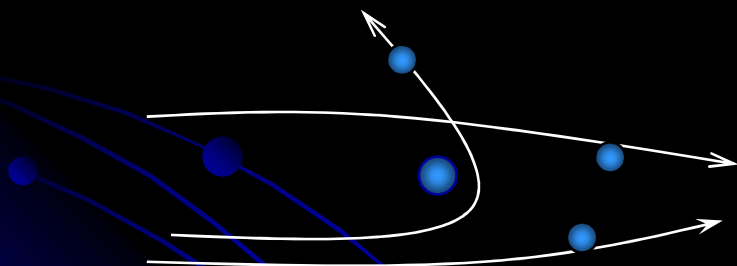
From Coulomb's law, the potential energy (in SI units) is

Then the radial equation for hydrogen atom says

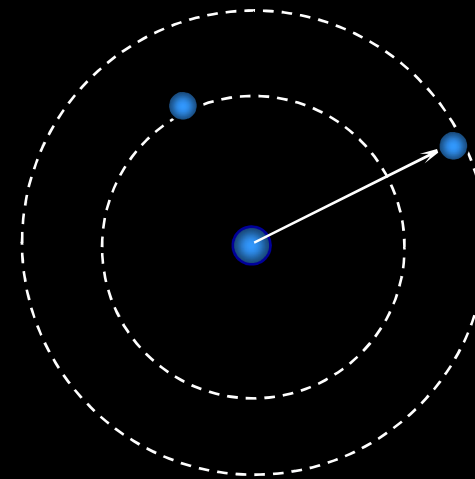


Our problem is to solve this equation for  $u(r)$ , and determine the allowed energies,  $E$ . Now we consider this problem in detail by using **analytical method**.

Incidentally, Coulomb potential, admits two different states, **continuous** states and **bound** states, which are separately corresponds to the following situations:



**continuous** states  $E > 0$ ,  
*describing electron-proton  
scattering*



**bound** states  $E < 0$ ,  
*representing Hydrogen atom*

## 4.2.1 The Radial Wave Function

### 1. Radial Solution:

The radial equation for Hydrogen atom is

$$(E < 0)$$

(1) Simplify it (tidy up):

As  $E < 0$ , then we let

Dividing above equation by  $E$ , we have

This suggests that we introduce

So that

(2) The asymptotic properties of the solution:

In this case, the constant term in the bracket of above equation dominates, so (approximately)

The general solution of it is



but the second term blows up as , so  $B=0$ . Evidently,



for large .

In this case, the *centrifugal* term dominates; approximately, then:



The general solution of it is

$$\frac{d^2 u}{d\rho^2} = C\rho^{-2}$$



But for  $\rho \rightarrow 0$ , the term  $\rho^{-l}$  blows up, so  $D=0$ . Thus

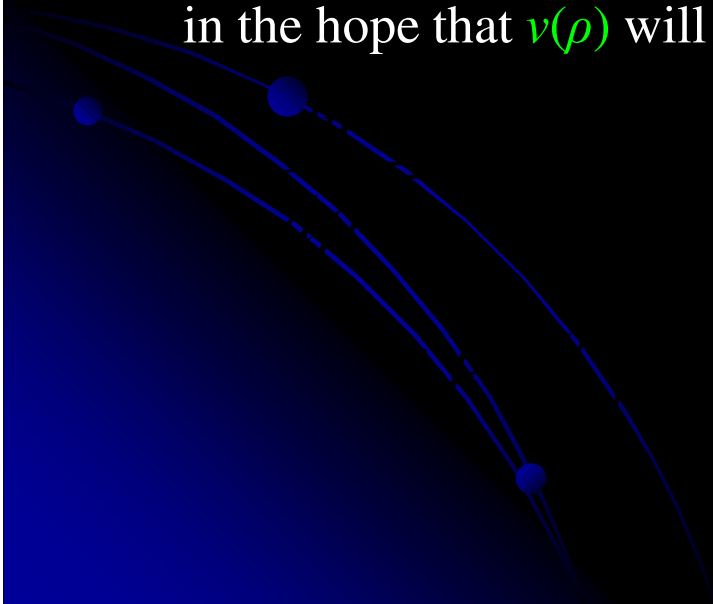


for small  $\rho$ .

(3) Introduce new function  $v(\rho)$  to simplify solution:

The next step is to peel off the *asymptotic* behavior, introducing the new function  $v(\rho)$ :

in the hope that  $v(\rho)$  will turn out to be simpler than  $u(\rho)$ . Then



In terms of  $v(\rho)$ , then, the radial equation of  $u(\rho)$  reads



[4.61]

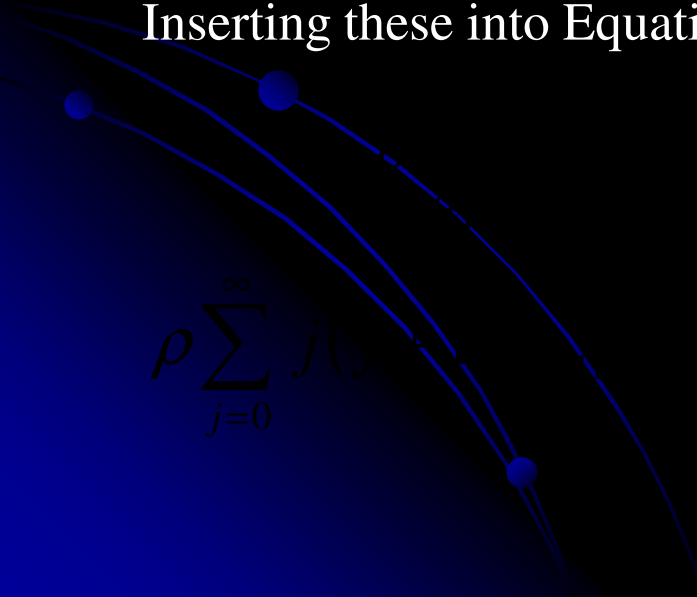
(4) Solve above equation by power series method:

Finally, we assume the solution,  $v(\rho)$ , can be expressed as a power series in  $\rho$ :

Now replace  $v(\rho)$  into equation and our problem is to determine the coefficients of the series,  $c_1, c_2, c_3, \dots$ . Differentiating term by term:

Differentiating again,

Inserting these into Equation 4.61, we have


$$\rho \sum_{j=0}^{\infty} j c_j \rho^{j-1}$$



where

Equating the coefficient of like powers yields

or:



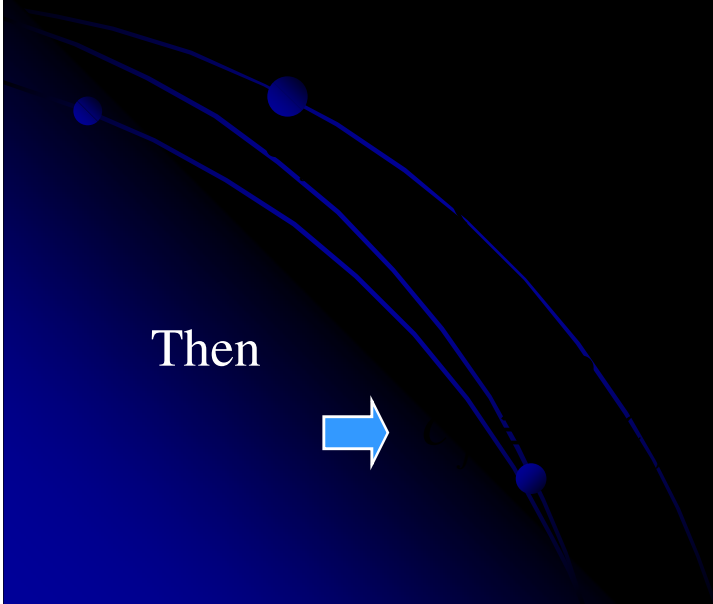
This recursion formula determines the coefficients, and hence the function  $v(\rho)$ : We start with  $c_0$ , and recursion formula gives us  $c_1$ ; putting this back in, we obtain  $c_2$ , and so on.

At last, after  $c_0$  being fixed eventually by normalization, the solution of  $v(\rho)$  and  $u(\rho)$  will be got.

## 2. Energies of the solutions:

If  $j$  is very large, that is  $j \rightarrow \infty$ , the recursion formula says

Then



so

and hence

which blows up at large  $\rho \rightarrow \infty$  and is not permitted because the solution will not be properly normalized. In order to satisfy the normalization condition, there is only one way out of this dilemma: *The series must terminate*. There must occur some maximal integer,  $j_{max}$ , such that

Evidently, from recursion formula

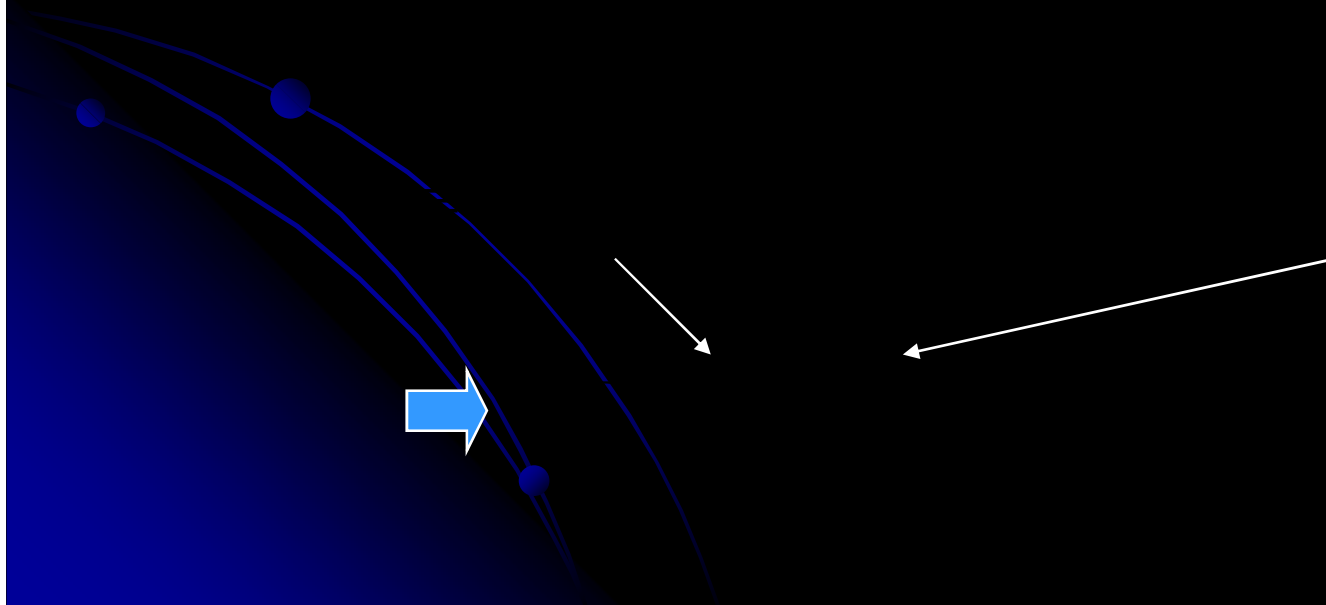


we get



Defining  
we have

, which is the so-called *principle quantum number*,



so the allowed energies are

This is the famous *Bohr formula* —by any measure the most important result in all of quantum mechanics. Bohr obtained it in 1913 by a serendipitous mixture of inapplicable classical physics and premature quantum theory.

And we also find that

where

$$a =$$



is the so-called *Bohr radius*.

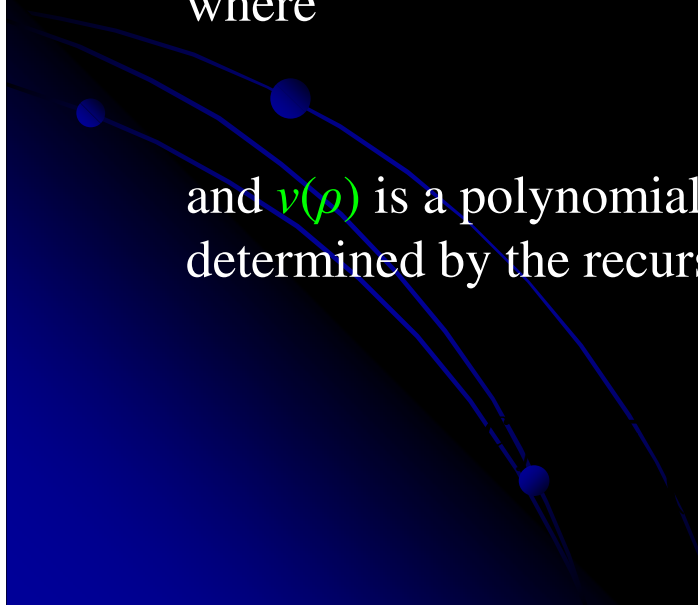
It follows that

### 3. The overall solutions of Hydrogen atom:

Finally, the spatial wave functions of hydrogen are labeled by three quantum numbers ( $n, l, \text{ and } m$ ):

where

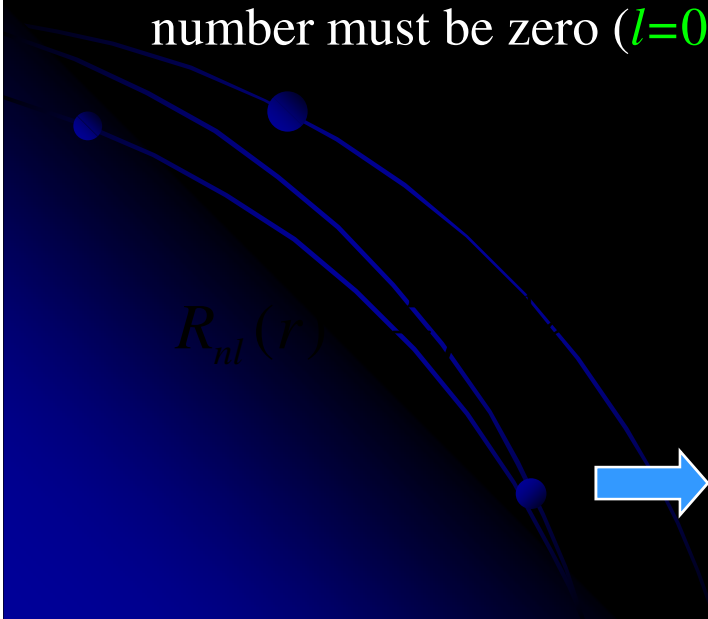
and  $v(\rho)$  is a polynomial of degree  $j_{max} = n - l - 1$  in  $\rho$ , whose coefficients are determined by the recursion formula



(1) The ground state:

The ground state (that is, the state of lowest energy) is the case  $n=1$ ; putting in the accepted values for the physical constants, we get

Evidently the *binding energy* of hydrogen (the amount of energy you would have to impart to the electron in the ground state in order to *ionize* the atom) is  $13.6 \text{ eV}$ . As the principle quantum number  $n=1=j_{max}+l+1$ , the angular quantum number must be zero ( $l=0$ ), whence also  $m=0$ , so the wave function is

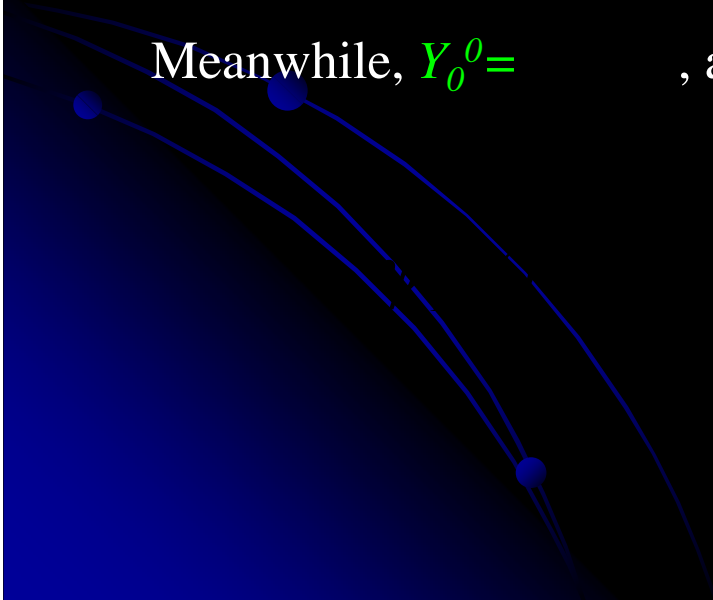


Normalizing  $R_{10}$  by

we have



Meanwhile,  $Y_0^0 = \frac{1}{\sqrt{4\pi}}$ , and hence the ground state of hydrogen is



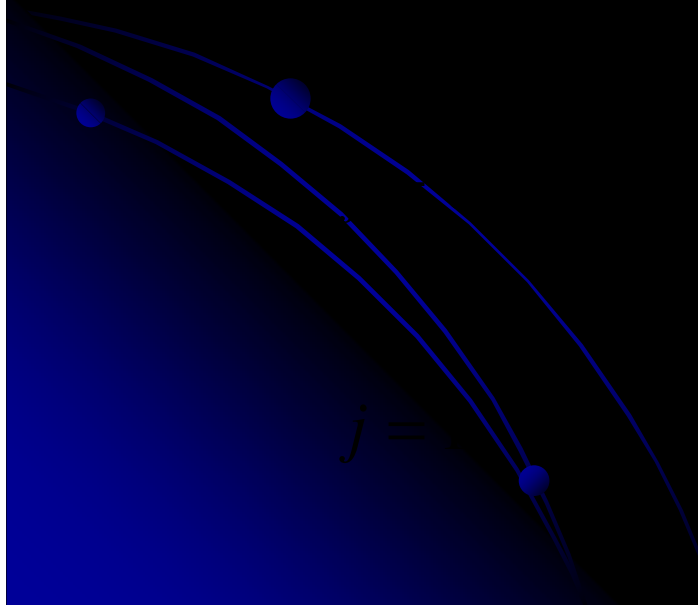


(2) The first excited states  $n=2$ :

If  $n=2$  the energy is

This is the first excited states, since we can have either  $l=0$  (in which case  $m=0$ ) or  $l=1$  (in which case  $m=-1, 0, \text{ or } 1$ ); Evidently there are four states that share the same energy  $E_2$ .

If  $l=0$ , the recursion relation gives



so

and therefore

If  $l=1$ ,  
series after a single term;

and we find

$K_{11}$

Normalization



the recursion formula terminates the

Normalization



(3) The excited states for arbitrary  $n$ :

For arbitrary  $n$ , the possible values of  $l$  are

and for each  $l$  there are  $(2l+1)$  possible values of  $m$ , so the total degeneracy of the energy level  $E_n$  is

The polynomial  $v(\rho)$  (defined by the recursion formula Eq.4.76 is a function well known to applied mathematicians; apart from normalization, it can be written as

where



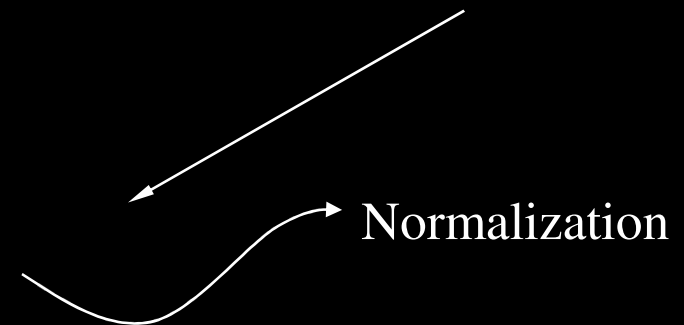
is the *associated Laguerre polynomial*, and

is the *qth Laguerre polynomial*.

Therefore the radial wave function is

Examples:

$$R_{10}(r) = \frac{2}{a} e^{-r/a}$$

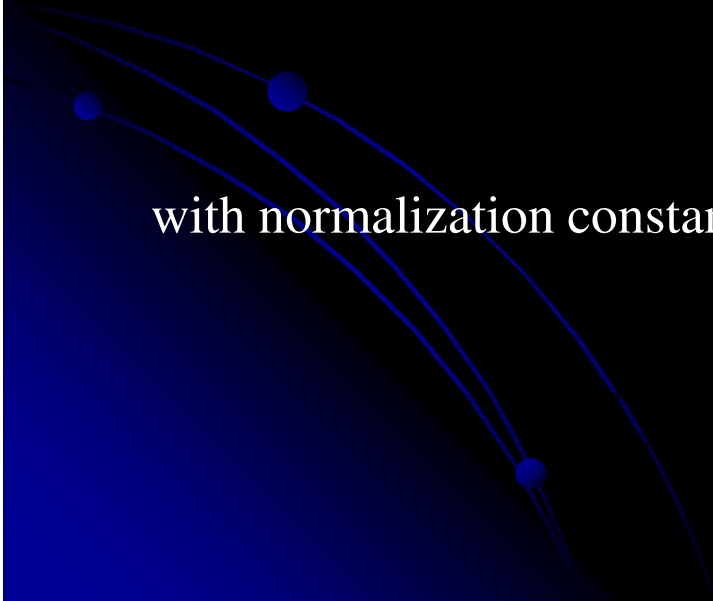


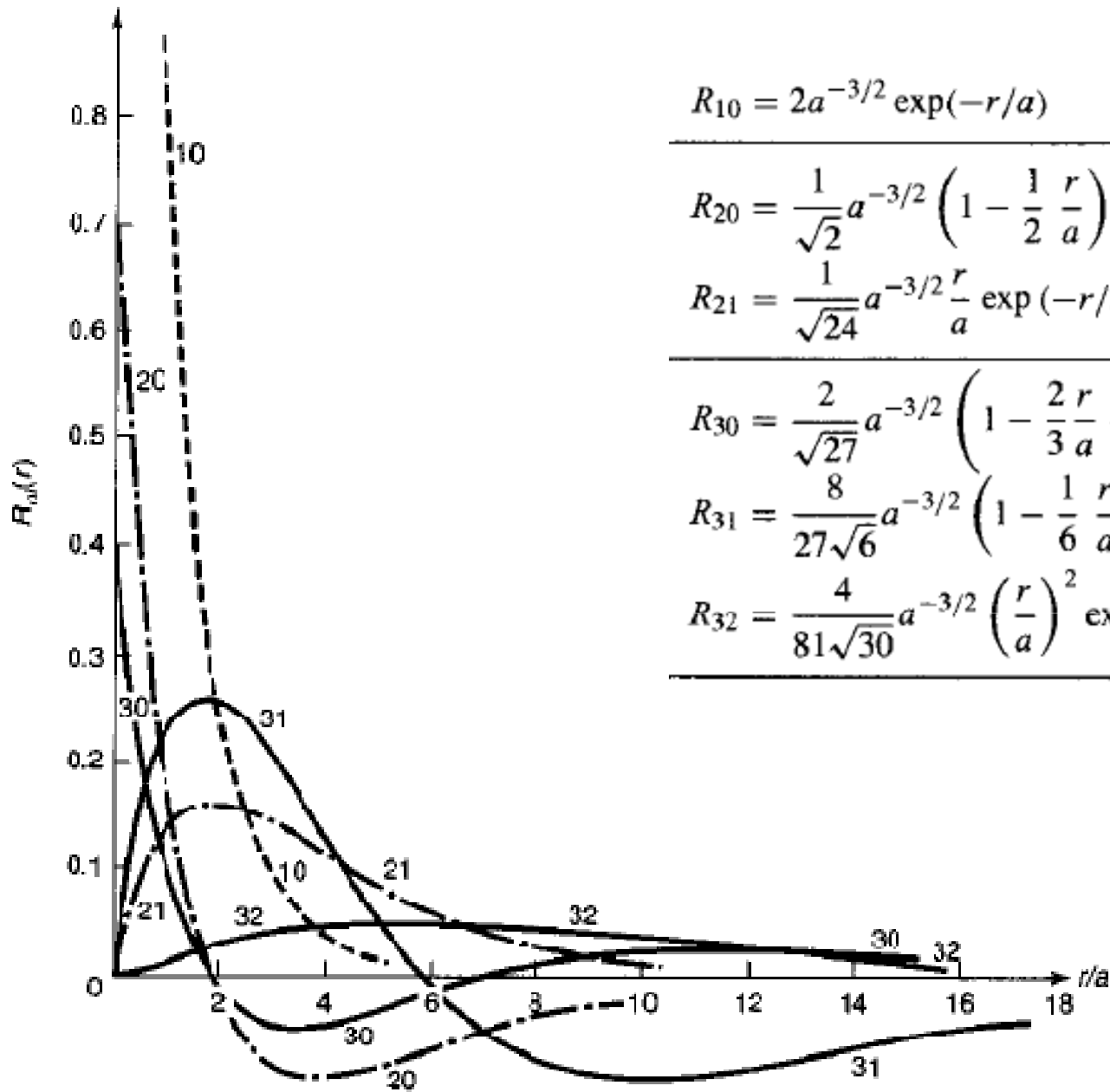
Generally, we can normalize  $R_{nl}$  as

↓  
Normalization

to give normalized  $R_{nl}$  as follows

with normalization constant  $N_{nl}$  being





$$R_{10} = 2a^{-3/2} \exp(-r/a)$$

$$R_{20} = \frac{1}{\sqrt{2}} a^{-3/2} \left( 1 - \frac{1}{2} \frac{r}{a} \right) \exp(-r/2a)$$

$$R_{21} = \frac{1}{\sqrt{24}} a^{-3/2} \frac{r}{a} \exp(-r/2a)$$

$$R_{30} = \frac{2}{\sqrt{27}} a^{-3/2} \left( 1 - \frac{2}{3} \frac{r}{a} + \frac{2}{27} \left( \frac{r}{a} \right)^2 \right) \exp(-r/3a)$$

$$R_{31} = \frac{8}{27\sqrt{6}} a^{-3/2} \left( 1 - \frac{1}{6} \frac{r}{a} \right) \left( \frac{r}{a} \right) \exp(-r/3a)$$

$$R_{32} = \frac{4}{81\sqrt{30}} a^{-3/2} \left( \frac{r}{a} \right)^2 \exp(-r/3a)$$

Figure 4.4: Graphs of the first few hydrogen radial wave functions,  $R_{nl}(r)$ .

Then, finally, the normalized hydrogen wave function are

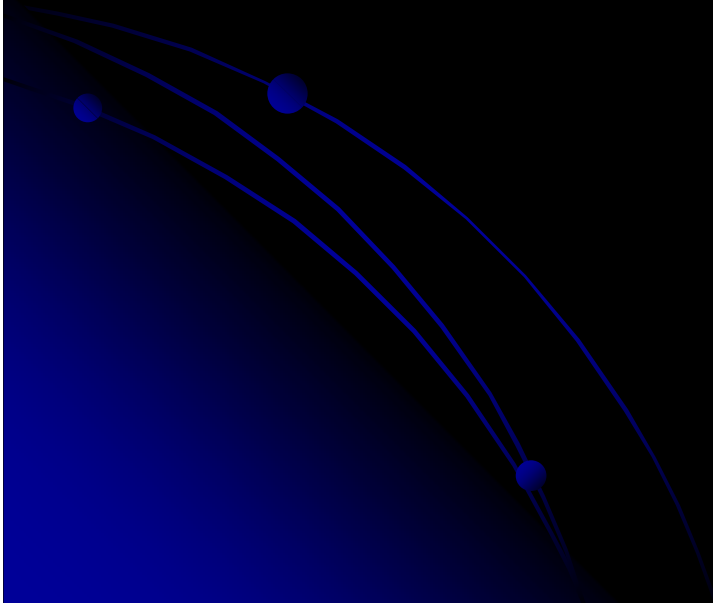
Notice that whereas the **wave functions** depend on all **three** quantum numbers, the **energies** are determined by  $n$  alone. This is a peculiarity of the Coulomb potential; generally, the energies depend also on  $l$ .

The wave functions are mutually orthogonal



Visualizing the hydrogen wave functions is not easy. See book!

See the figures of solutions of  
Hydrogen atom

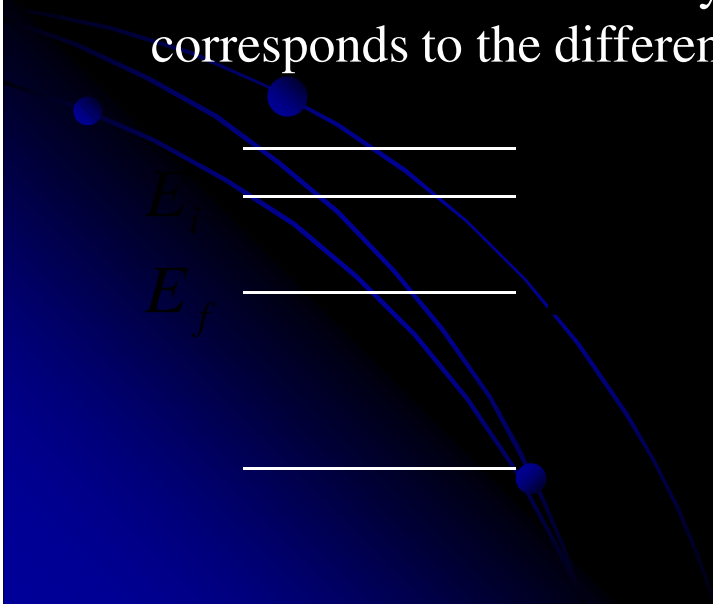




## 4.2.2 The Spectrum of Hydrogen

In principle, if you put a hydrogen atom into some stationary state  $\psi_{nlm}$ , it should stay there forever. However, if you *tickle* it slightly (by collision with another atom, say, or by shining light on it), the electron may undergo a *transition* to some other stationary state—either by *absorbing* energy, and moving up to a higher-energy state, or by *giving off* energy (typically in the form of electromagnetic radiation), and moving down.

In practice such *perturbations* are always present; transitions (or, as they are sometimes called, “*quantum jumps*”) are constantly occurring, and the result is that a container of hydrogen gives off light (*photons*), whose energy corresponds to the difference in energy between the *initial* and *final* states:



Now according to the *Planck formula*, the energy of a photon is proportional to its frequency:

Meanwhile, the *wavelength* is given by  $\lambda = \frac{c}{\nu}$ , so



where



is known as the *Rydberg constant*. Above equation is the *Rydberg formula* for the spectrum of hydrogen; it was discovered empirically in 19<sup>th</sup> century, and the greatest triumph of Bohr's theory was its ability to account for this result—  
—and to calculate *R* in terms of the fundamental constants of nature.

### Spectrum of Hydrogen:

Transitions to the ground state ( $n_f=1$ ) lie in the ultraviolet; they are known to spectroscopists as the *Lyman series*.

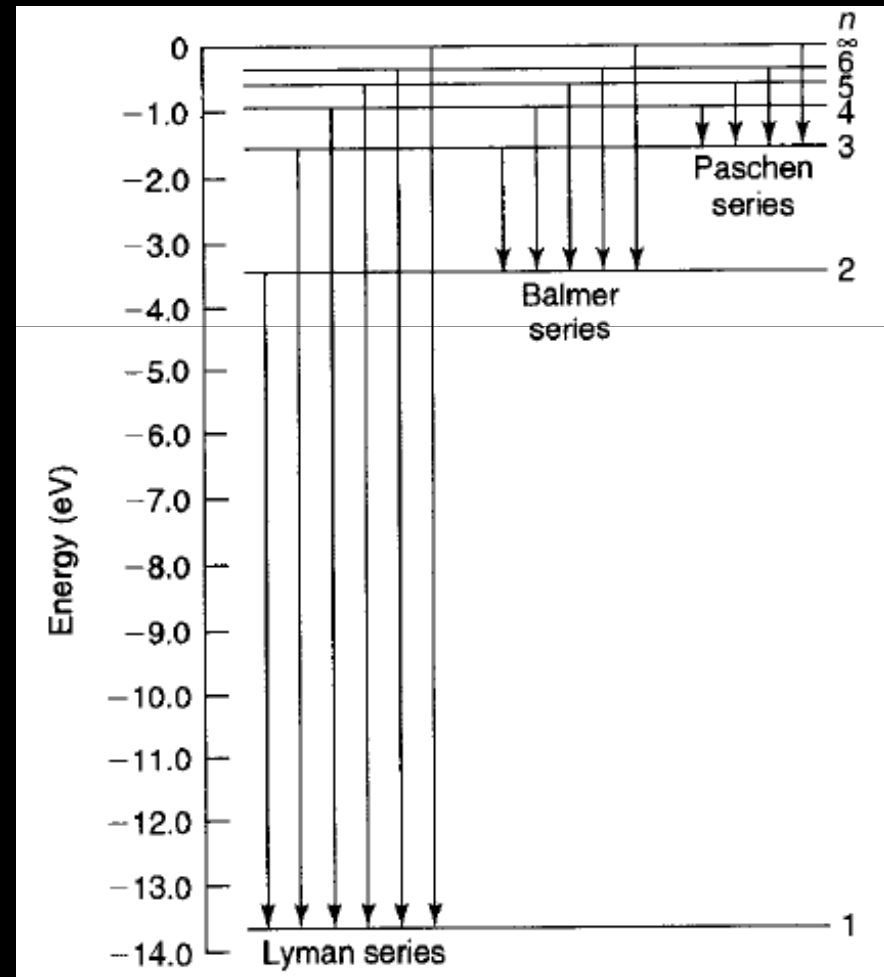
#### 莱曼系

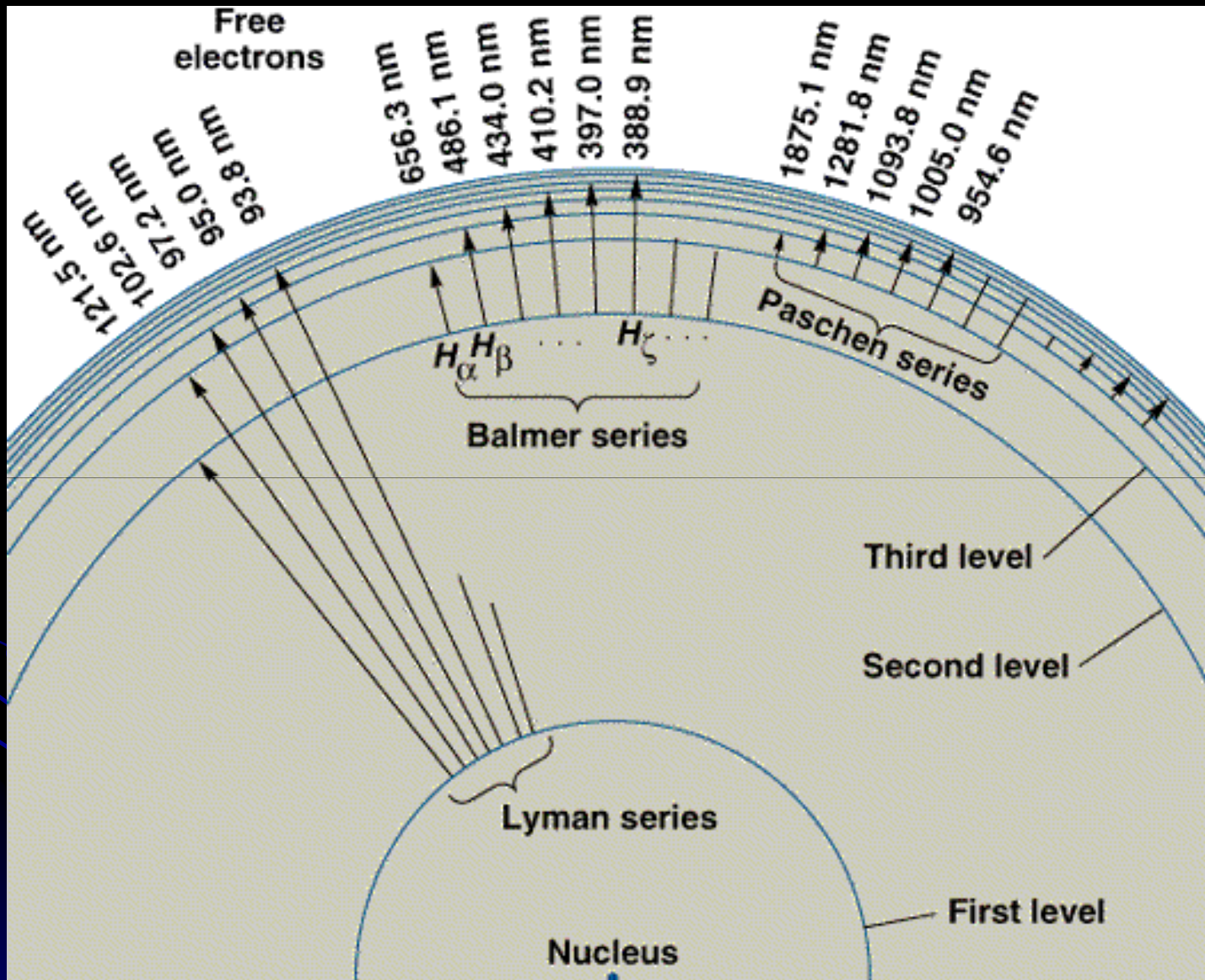
Transitions to the first excited state ( $n_f=2$ ) fall in the visible region; they constitute *Balmer series*.

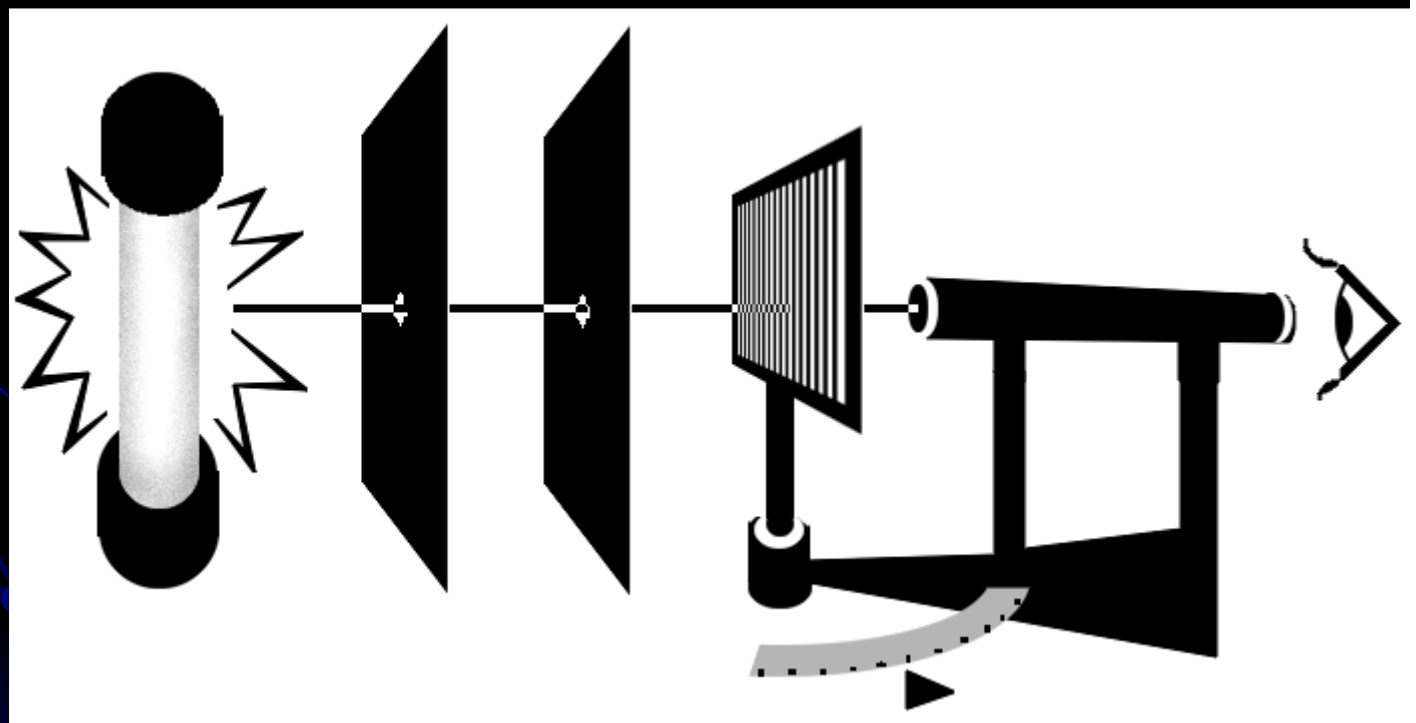
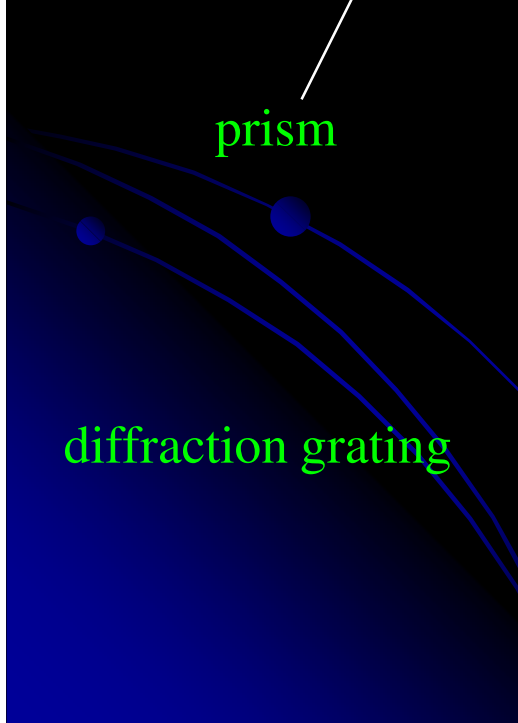
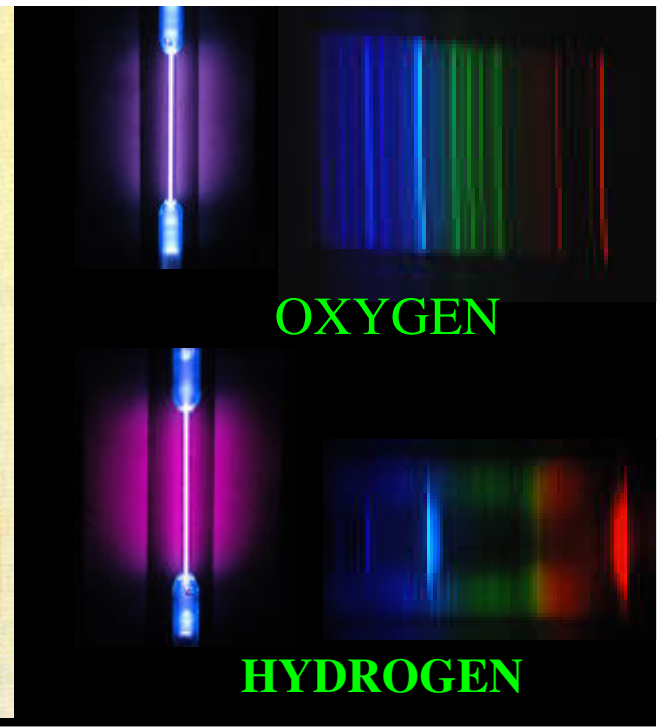
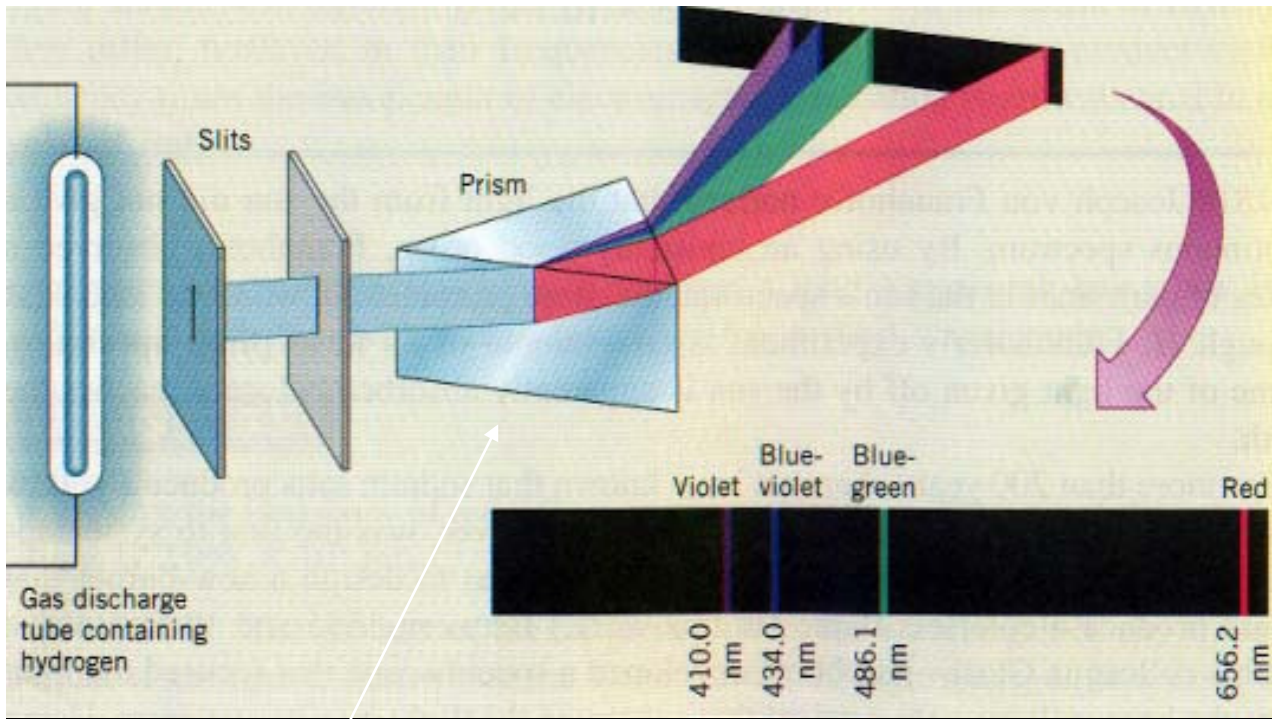
#### 巴尔末系

Transitions to  $n_f=3$  (*Paschen series*) are in the infrared region; and so on.

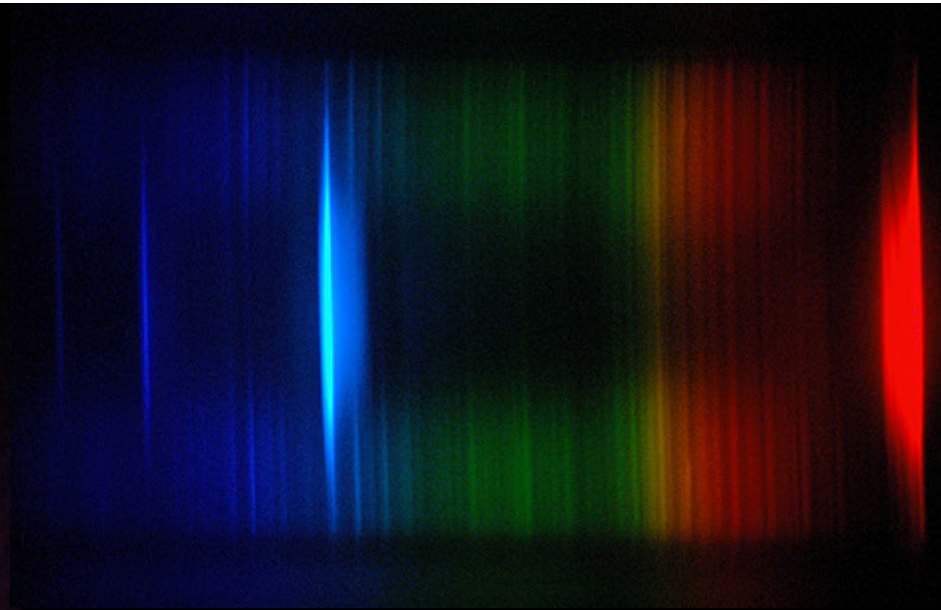
#### 帕邢系





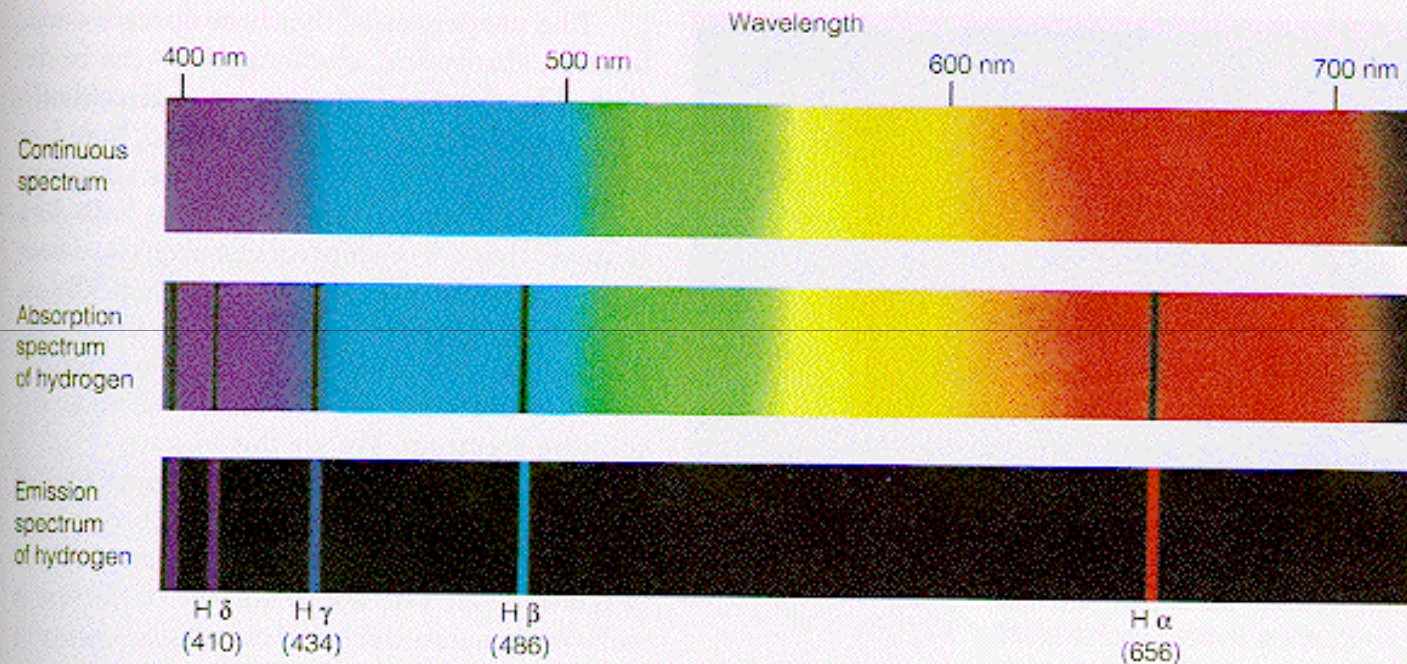






These spectral lines are produced by "exciting" gas atoms and molecules with high voltage (about 5000 volts). This energy kicks electrons to higher energy levels where they are unstable and drop back towards the ground state (lower energy levels). As the electrons make this downward transition, they release energy in the form of visible light.

## The emission and absorption spectrum of hydrogen in the visible range is the following



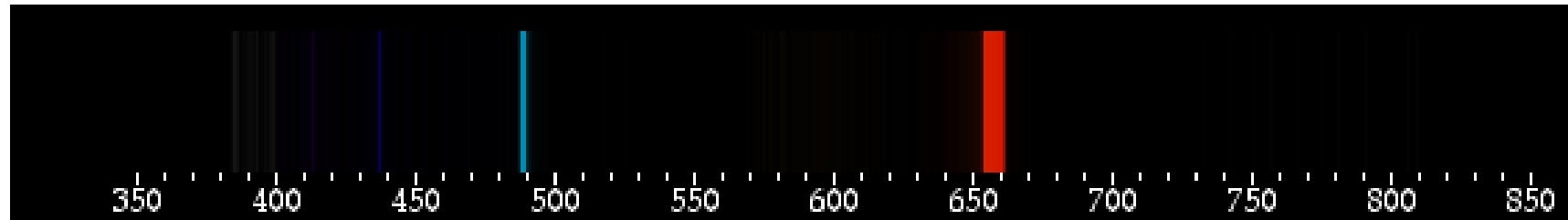
**FIGURE 6 - 7**

The three types of spectra. A continuous spectrum (top) contains no bright or dark lines, but an absorption spectrum (middle) is interrupted by dark absorption lines. An emission spectrum (bottom) is dark except at certain wavelengths where emission lines occur. Note that the lines in the absorption spectrum of hydrogen have the same wavelength as the lines in the emission spectrum of hydrogen.

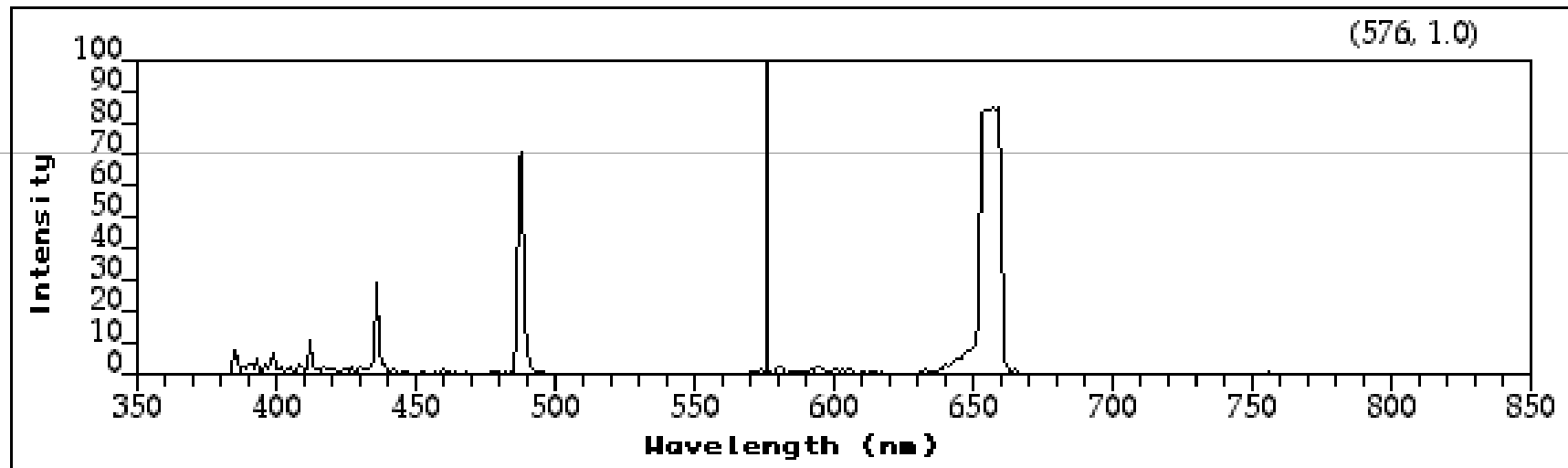


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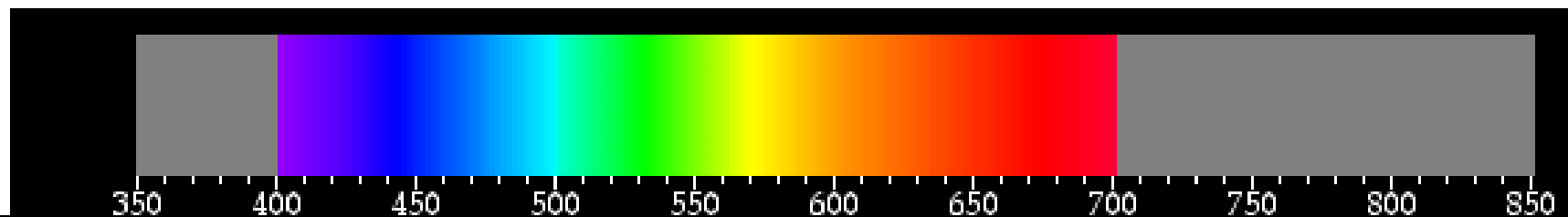
### Spectroscope



### Emission Graph



### Electromagnetic Spectrum





End

